

# Linux Plumbers Conference

Vienna, Austria | September 18-20, 2024

# Enabling tooling independent exchange of Requirements and other SW Engineering related information with the upcoming SPDX Safety Profile

Nicole Pappler, AlektoMetis

Safe Systems with Linux Micro Conference

Sept 20, 2024



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Whoami – Nicole Pappler

## Professional History:

Been working in production maintenance, automotive, ECU software development

All my projects had some safety criticality

Started to focus on Functional Safety about 13 years ago

## Currently:

Tech consulting as part of AlektoMetis

Supporting my customers regarding Functional Safety, Security & compliant use of open source

Involved in some open source projects:

Zephyr (Functional Safety Manager)

ELISA (Medical & Systems Group)

FuSa for SPDX SIG

OpenChain (3<sup>rd</sup> party certification with TÜV SÜD)

## What else?

GitHub, Discord, etc: @nicpappler

# About today

What's the issue?

Why do we need traceability?

The documentation of intentions and evidences.

SPDX Safety Model



Some history...



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## Why traceability?



Photo by [Max Nüstedt](#) on [Unsplash](#)

### Safety based on

- Mechanics
- Safe construction
- Built with durable and suitable nuts, screws, bolts, ...



# Identification of mechanical parts

Standardized parts

Defined material properties

- Tensile Strength
- Composition

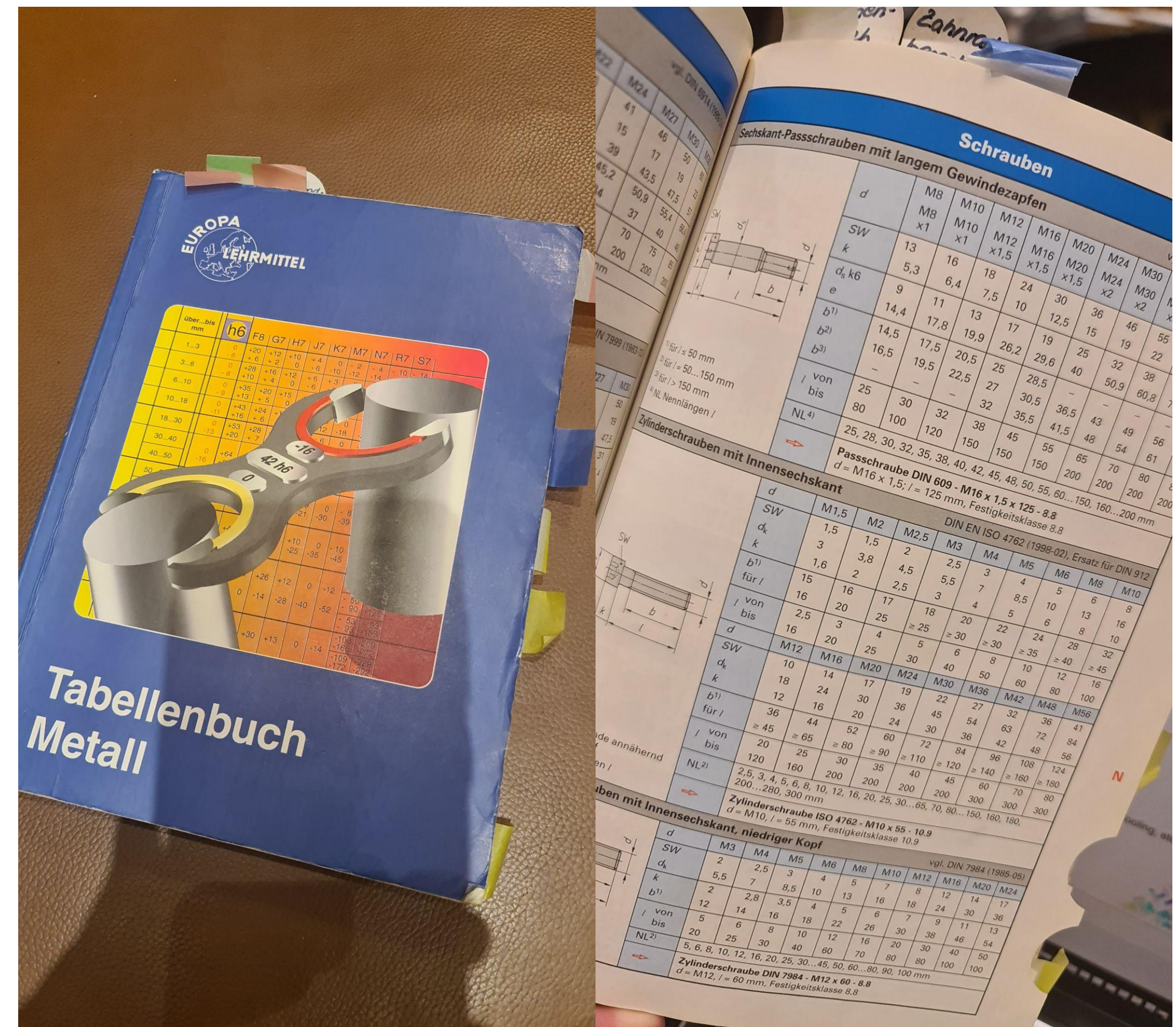
Dimensions

Tools

Handling (max torque, right tooling, etc.)

Serial numbers

Lot identification



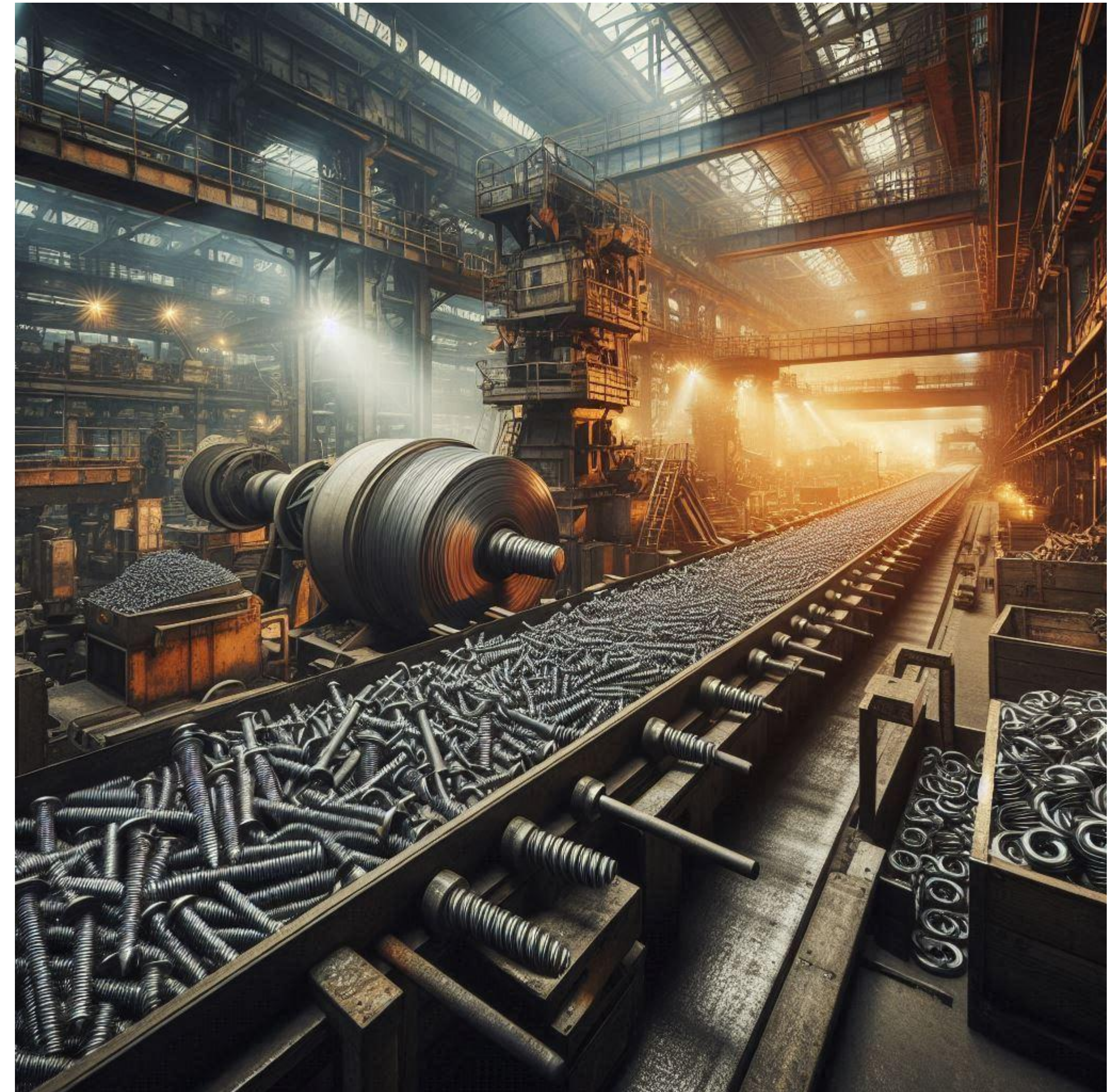
Easy identification & verification!





## Why do we want all this information?

- Manufacturing information
- Material vulnerabilities affect the whole lot
- Easy identification of properties, like size, dimensions, tensile strength, surface tempering...
- Identification of suitable tooling and tool usage limitations (torque, handling, assembling and disassembling cycles...)
- Identification of suitable accessories (self locking nuts, washers, ...)



# Disaster and Incident Response

Eschede train disaster 1998:

- analysis of the incident including
  - material information
  - construction & manufacturing information
  - maintenance information
- fatigue crack in one single wheel



 [https://en.wikipedia.org/wiki/Eschede\\_train\\_disaster](https://en.wikipedia.org/wiki/Eschede_train_disaster)

Follow up actions:

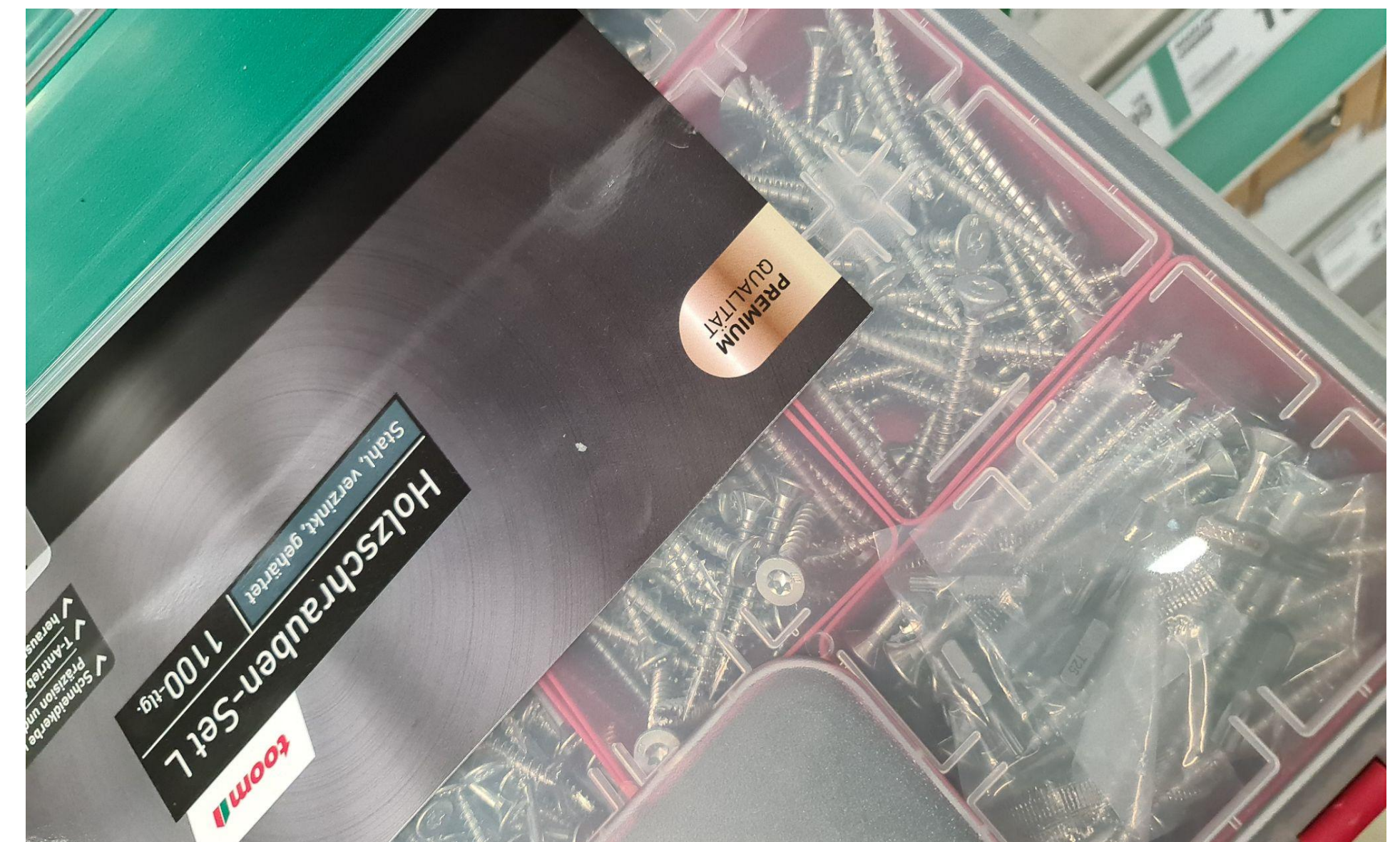
- legal actions
- redesign of the wheels
- improved maintenance procedures
- improved escape ways (easy cracking windows)

Which one to trust with your life?



A standardized, traceable screw with defined material and properties?

Some screws you found on the internet?



Back to today



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## More than pure mechanics

Mechanical Safety  
Electrical Safety  
Environmental Safety  
Functional Safety  
Cyber Security

Mechanics

Electrical & Electronic devices

Software



Photo by [Daniel Abadia](#) on [Unsplash](#)



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# More than pure mechanics

Mechanical Safety  
Electrical Safety  
Environmental Safety  
Functional Safety  
Cyber Security

Mechanics

Electrical & Electronic devices

**Thousands of software  
components, billions of  
lines of software**



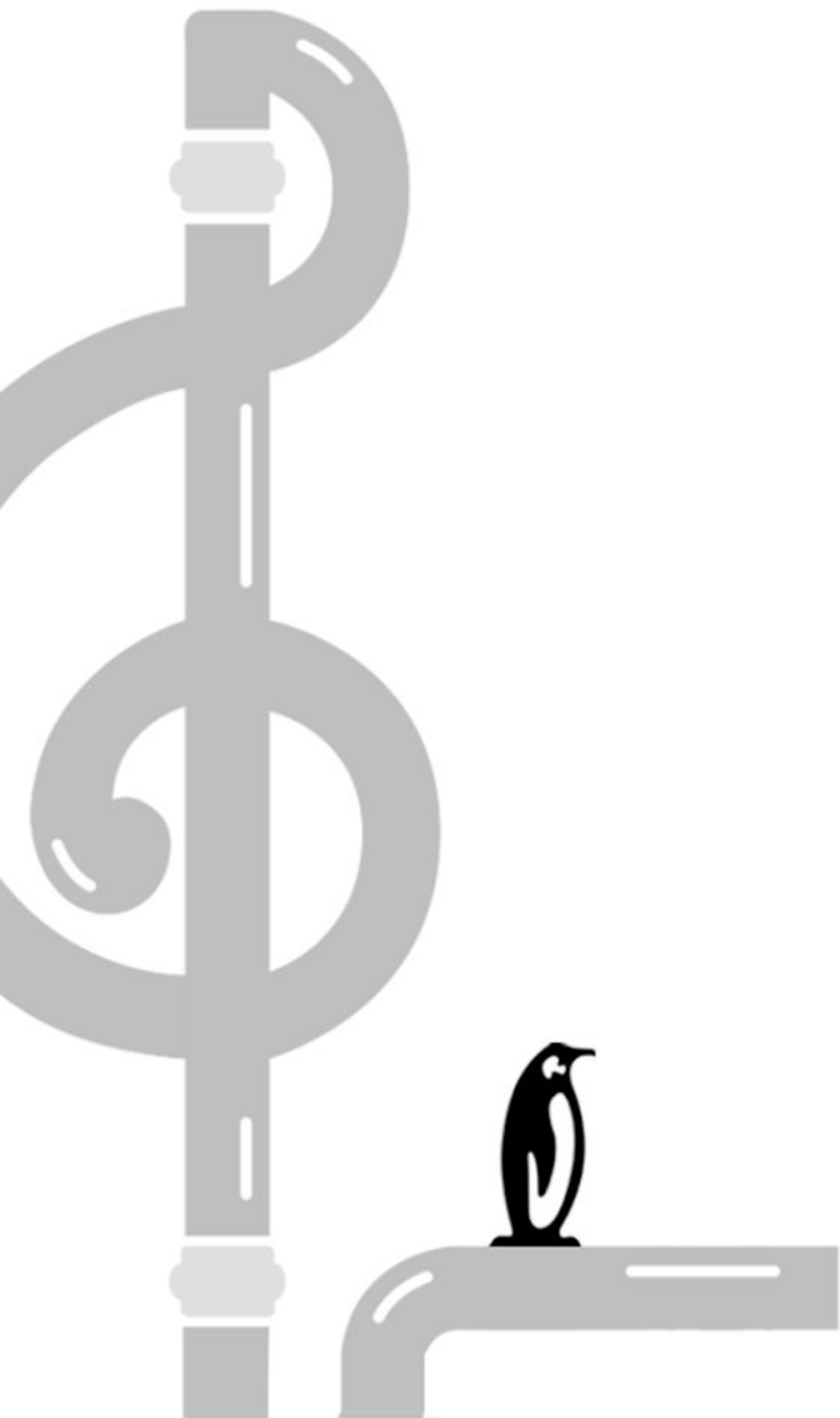
Photo by [Daniel Abadia](#) on [Unsplash](#)



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# Functional Safety and its added value



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## Definition of Functional Safety

- **Safety** – the freedom from unacceptable risk of physical injury or of damage to the health of people, either directly, or indirectly as a result of damage to property or to the environment
- **Functional Safety**
  - the part of safety that depends on a system or equipment operating correctly in response to its inputs
  - Detecting potentially dangerous conditions, resulting either in the activation of a protective or corrective device or mechanisms to prevent hazardous events or in providing mitigation measures to reduce the consequences of the hazardous event.
- **Know your risks, now what you need, know what you have implemented, document your decisions and evidences**





# Functional Safety - Systematic Capability of Software

Safety is a system property!

But:

**Systematic capability** is the general assumption, that

- if development, test and deployment of a system follow a specific set of tasks and
- there is evidence for adherence to these tasks
- (and under the assumption that the system architecture supports safety)

⇒ **Software is capable of performing as intended**



# Functional Safety Standards

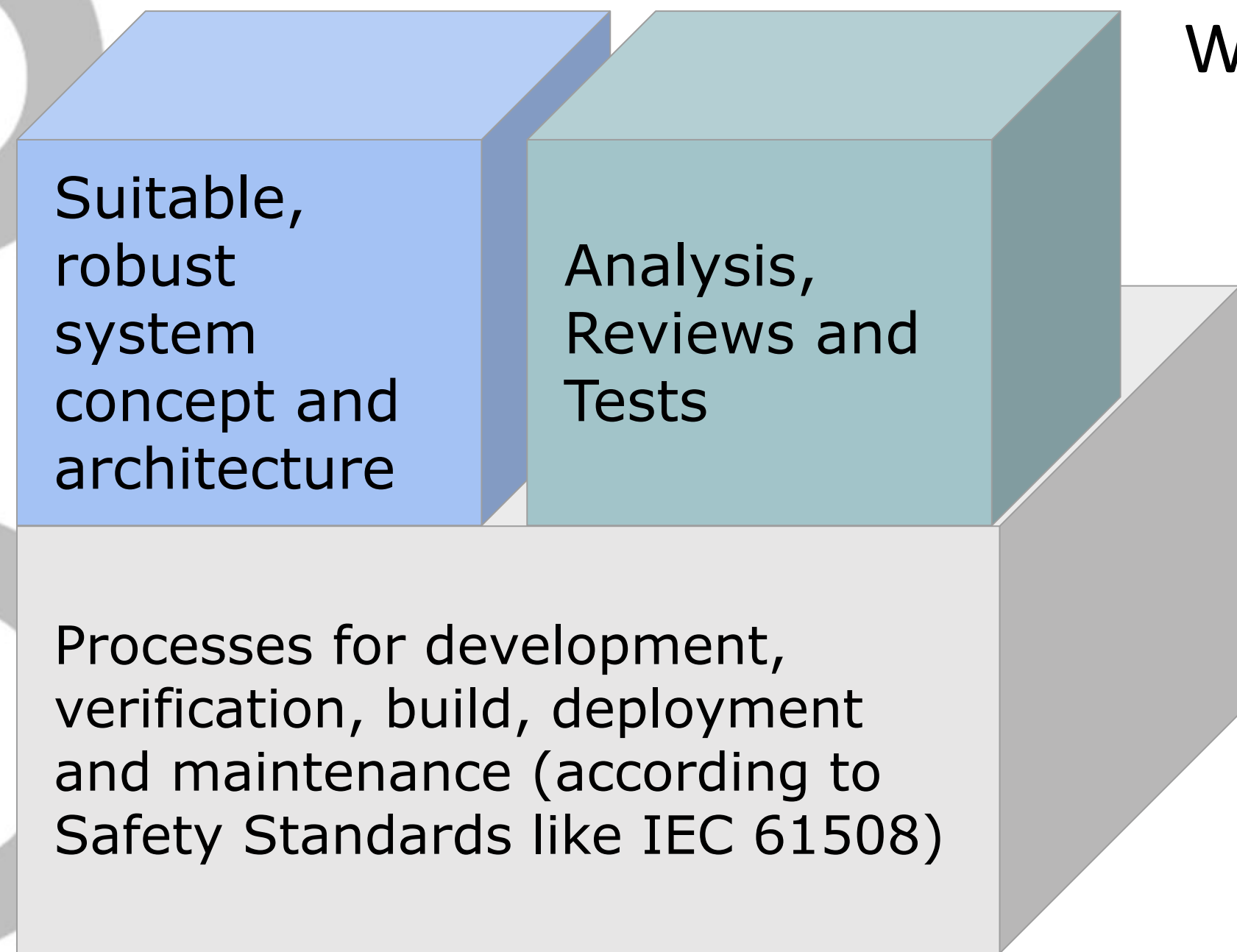
What are these tasks and evidences?

- Usually defined in Safety Standards
- Focus: Unique IDs, traceability, completeness, evidences

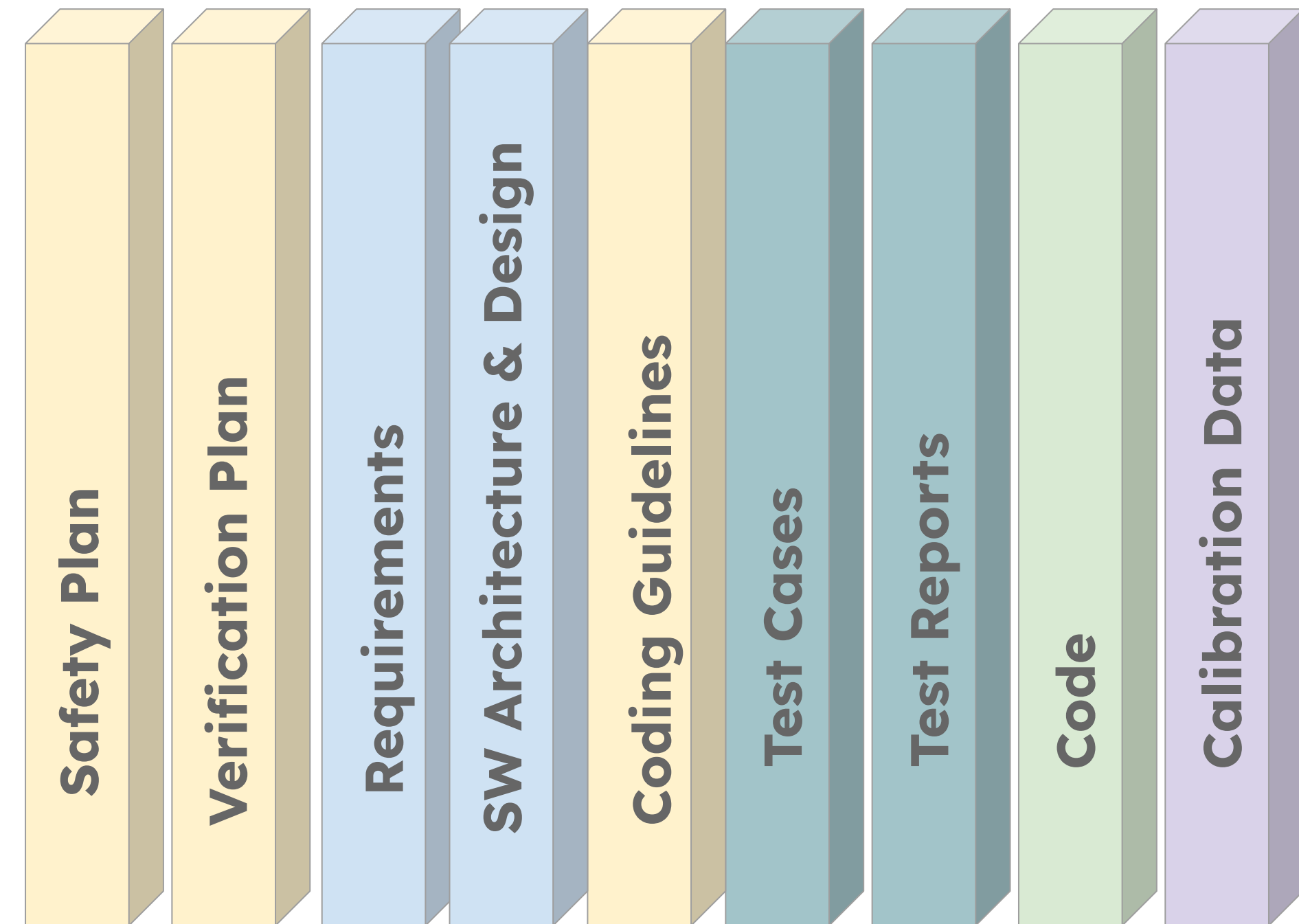
⇒ define your dependencies (also inside of your project!) **and keep them up to date!**



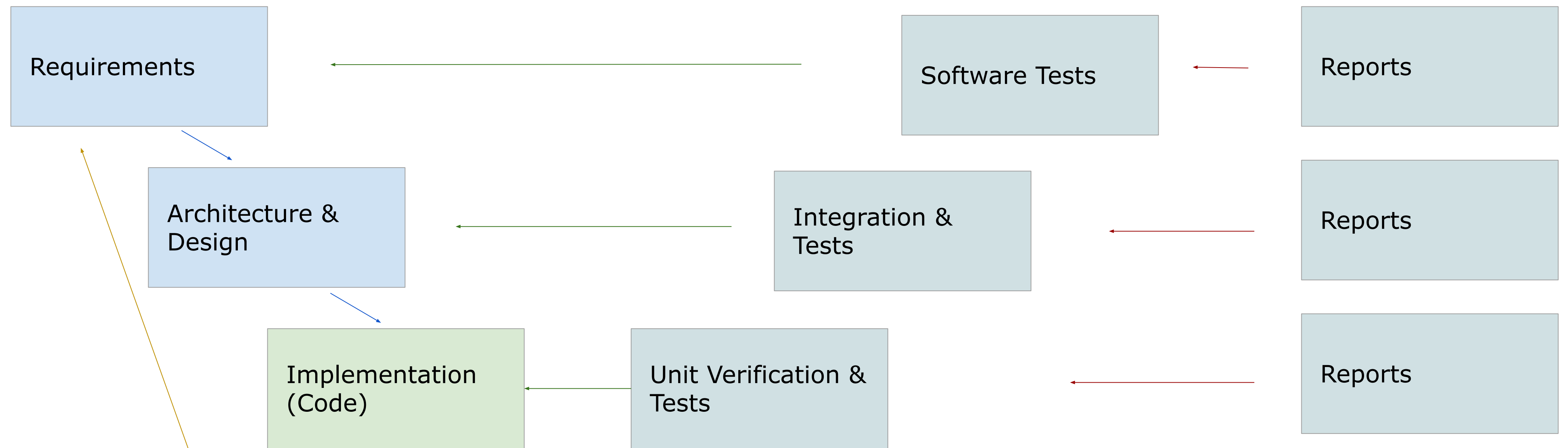
# Safety Architecture and Documentation



What is FuSa aiming for?



# Dependencies in a FuSa Project

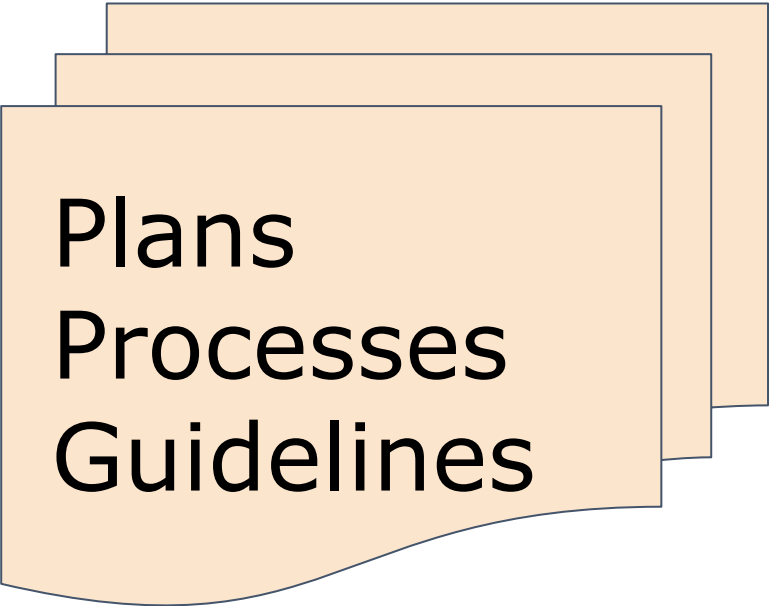
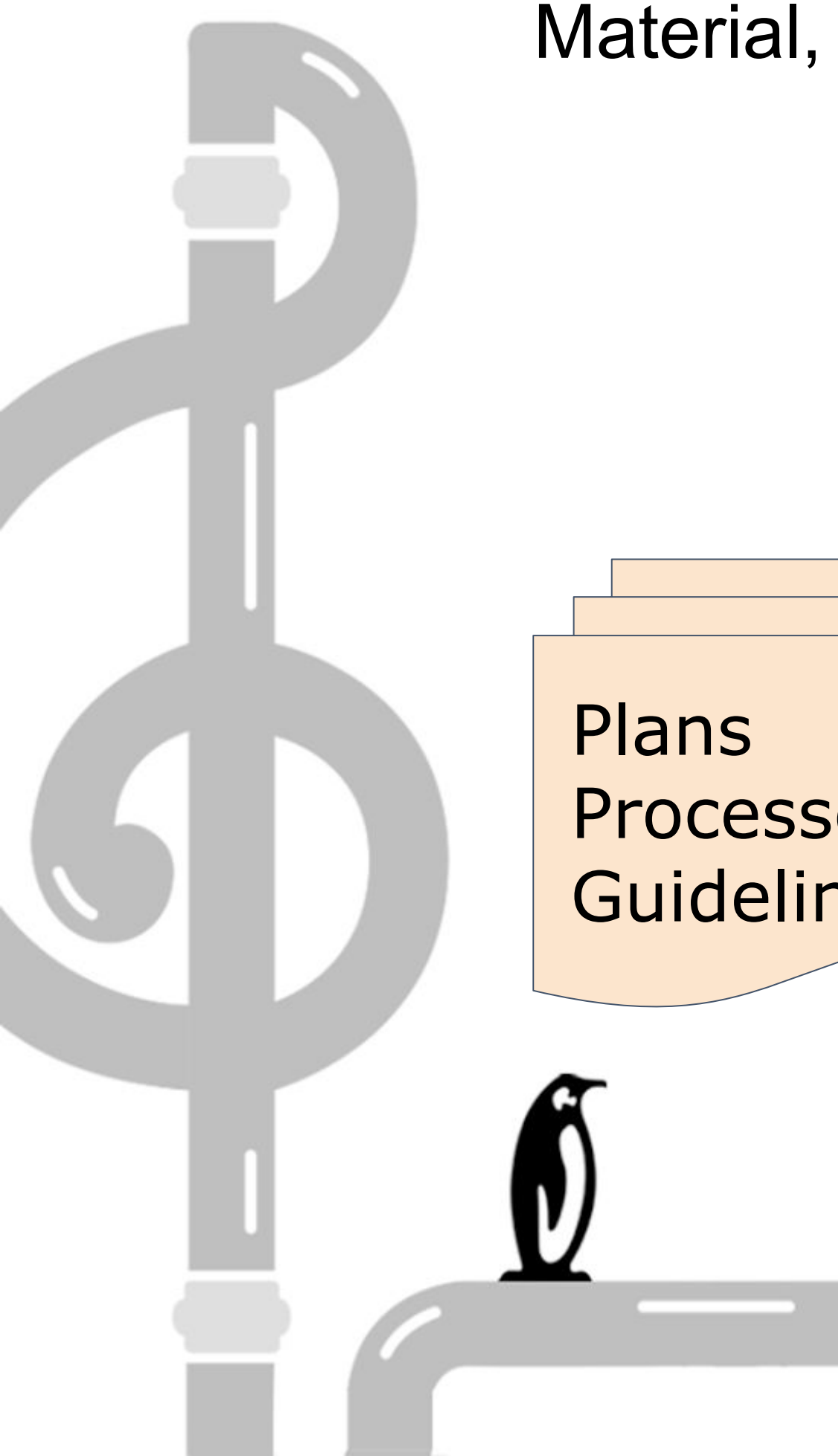


Functional Safety Management Plan	Requirements Management Plan	Configuration Management Plan	Documentation Management Plan	Component Qualification / Supply Chain	Validation & Assessment	Tooling Eval & Qualification (Dev, Verification, Build, Deploy...)
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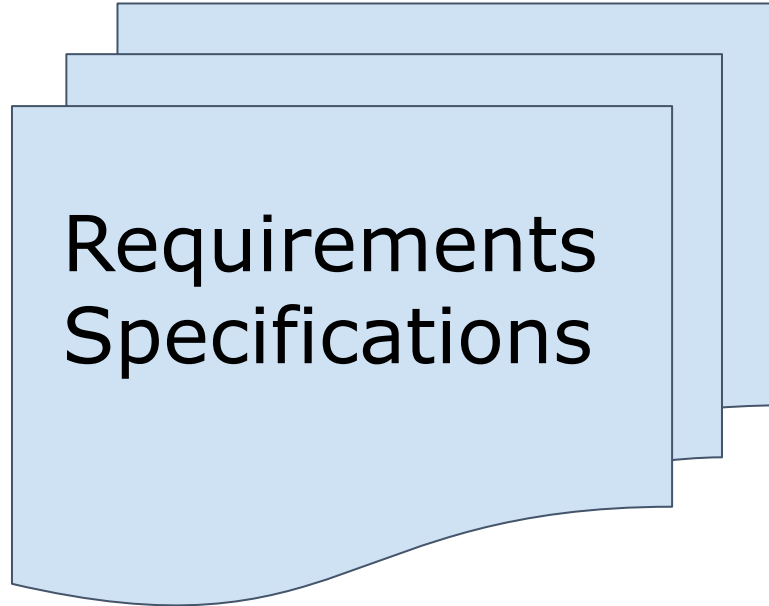
## FuSa documentation structure

All FuSa related documentation is part of the Safety Case!

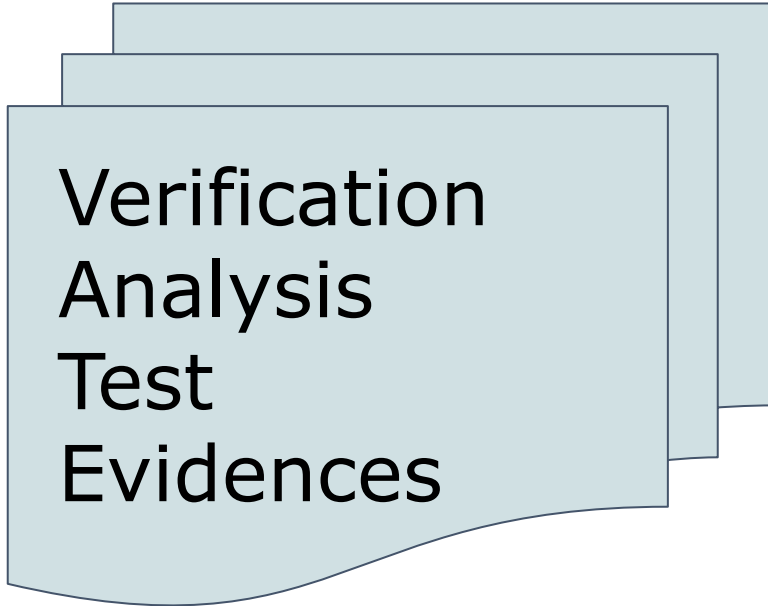
Think of all these documents as part of the release - each document is part of the Bill of Material, as is each screw, each microcontroller and each piece of software!



Plans  
Processes  
Guidelines



Requirements  
Specifications



Verification  
Analysis  
Test  
Evidences

# Data Structure of current FuSa projects...

.pdf, .docx, QMS  
System,  
Wikis

Plans  
Processes  
Guidelines

One or more  
repos, git or svn  
based

Code,  
Build data,  
executables

Zoo of lifecycle  
management systems,  
.pdf, .docx

Requirements  
Specifications

Verification  
Analysis  
Test  
Evidences

Zoo of lifecycle  
management systems and  
test tools,  
.pdf, .docx, .xls, html, code  
...

# Data Structure of current FuSa projects...

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Plans  
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One or more  
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based

Code,  
Build data,  
executables

**Traceability breaks  
between tools, between  
configurations, etc,  
impossible to keep up  
during updates and  
product variants**

Requirements  
Specifications

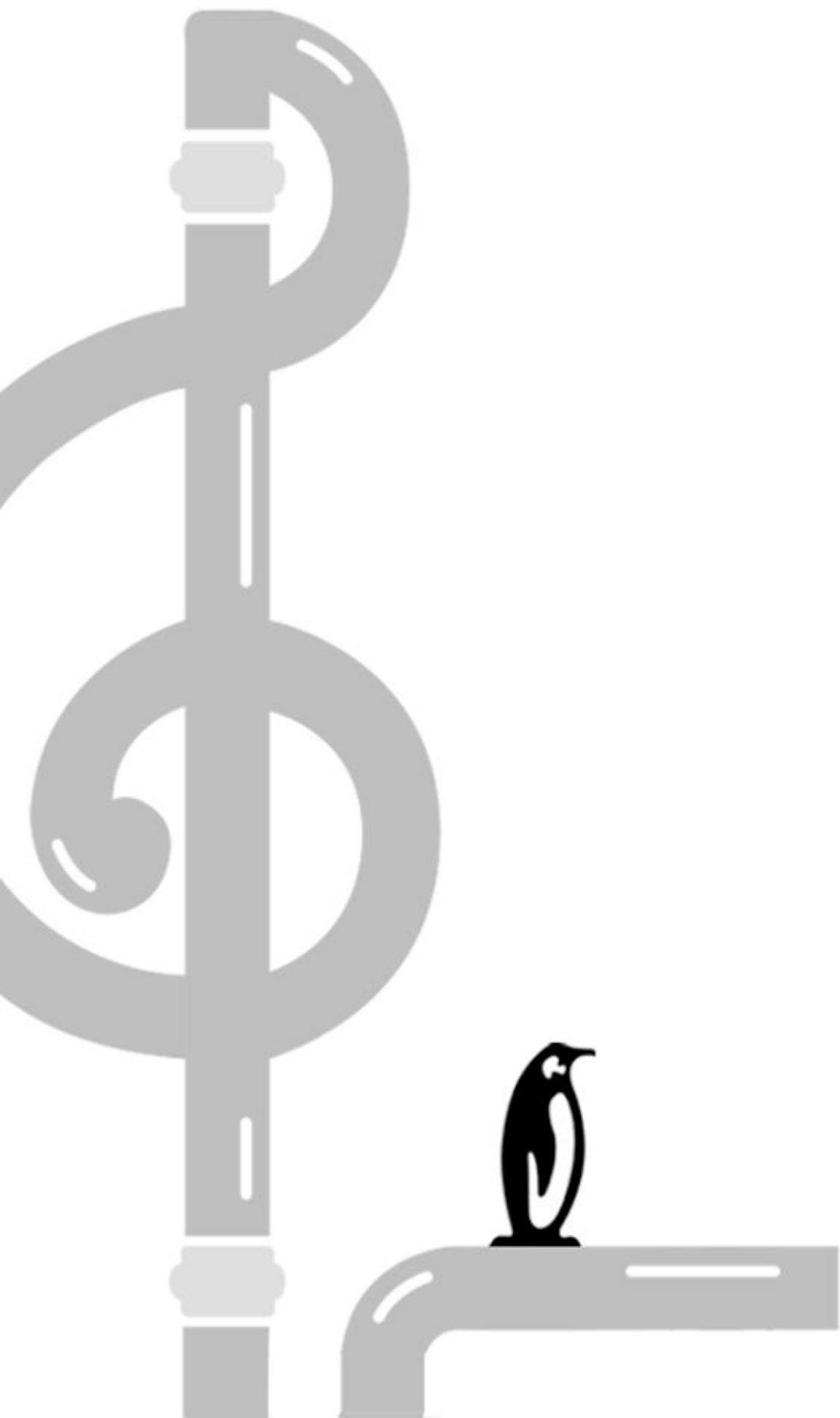
Zoo of lifecycle  
management systems,  
.pdf, .docx

Verification  
Analysis  
Test  
Evidences

Zoo of lifecycle  
management systems and  
test tools,  
.pdf, .docx, .xls, html, code

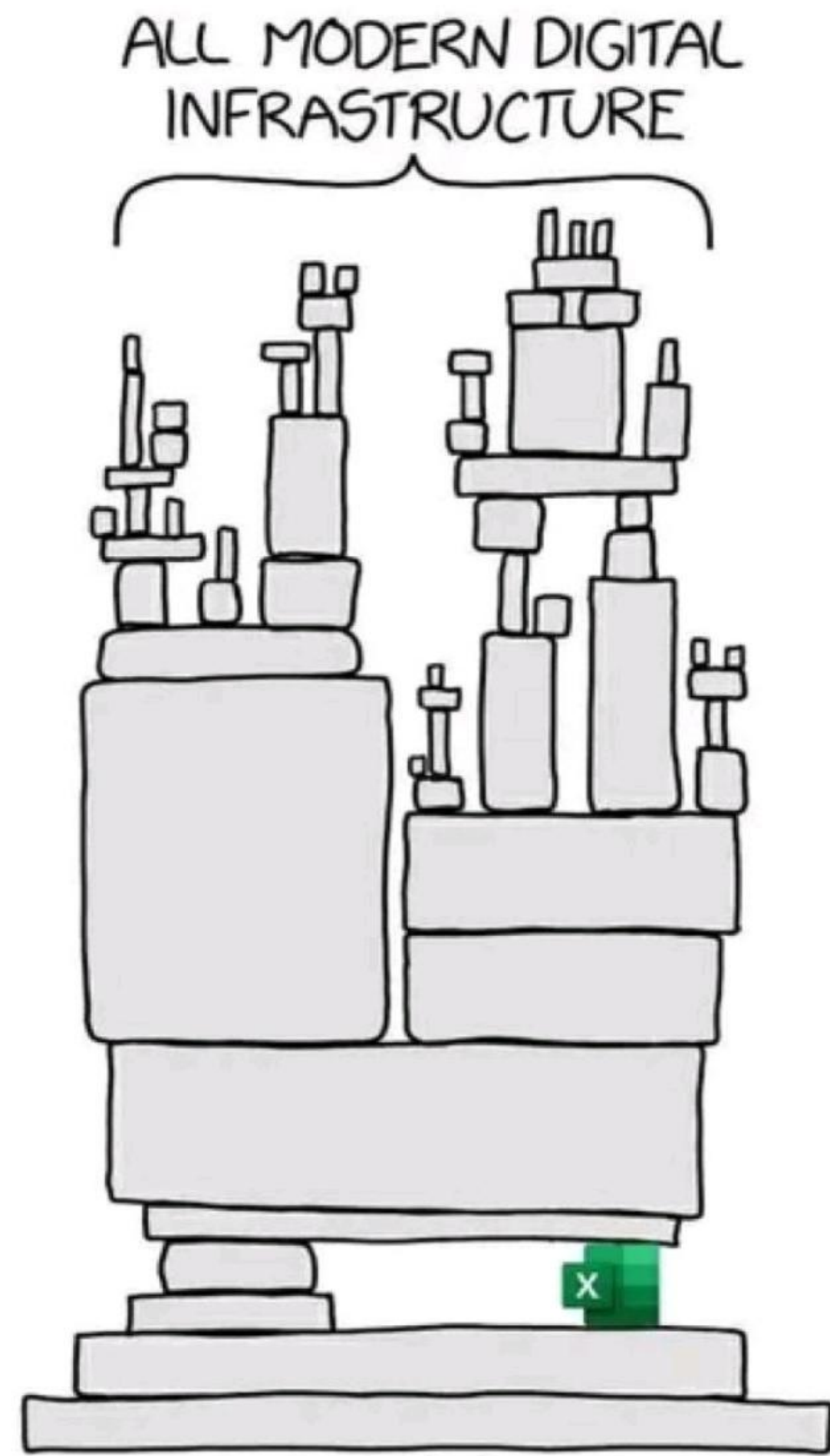
No 1 Safety Information Exchange Format

**Any guesses????**





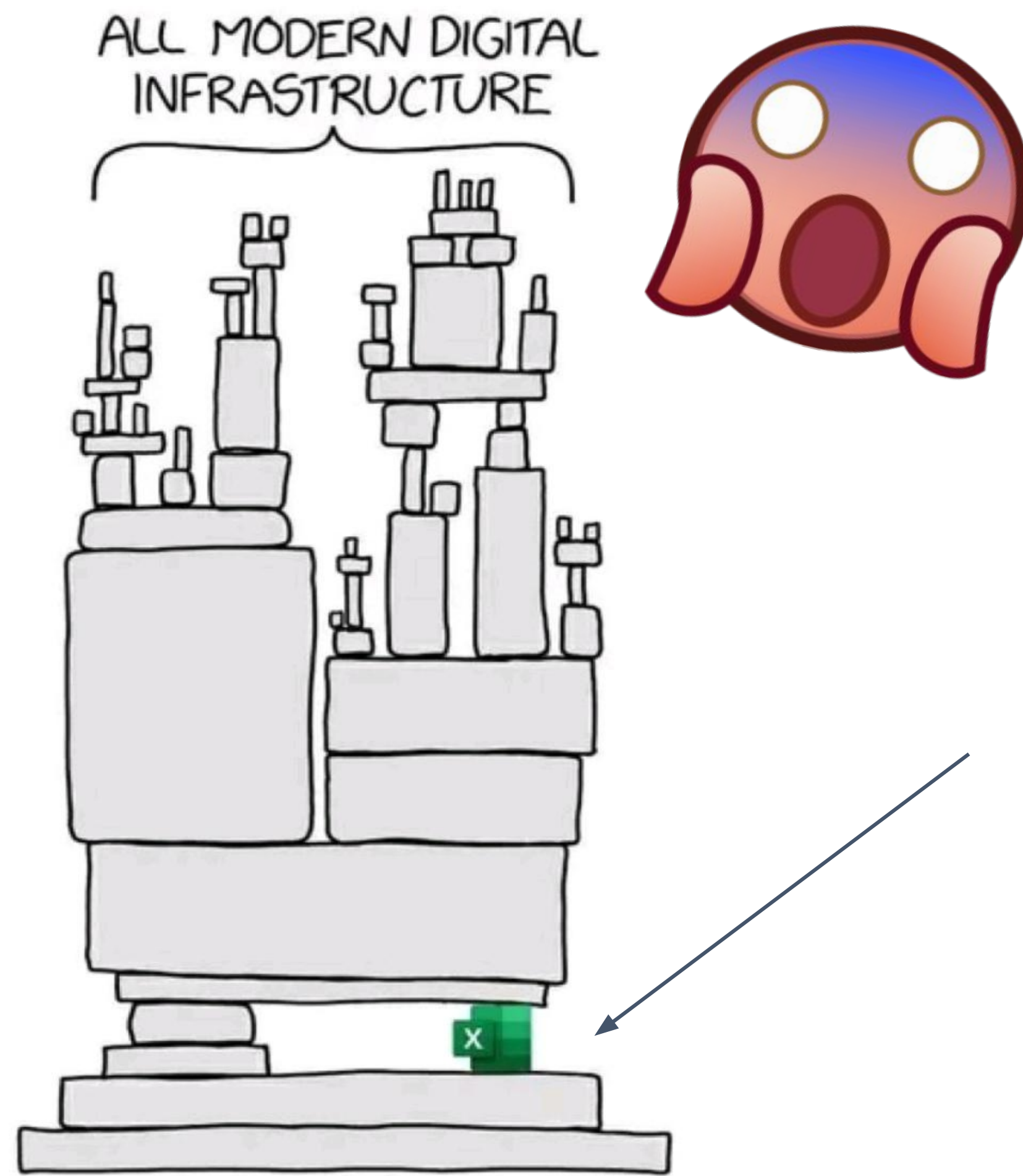
# No 1 Safety Information Exchange Format



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# No 1 Safety Information Exchange Format



[draft\\_2005TemplateSafetyCase\\_thisproject\\_final\\_forTraceingv06.xls](#)

# Why we do need SPDX for Functional Safety



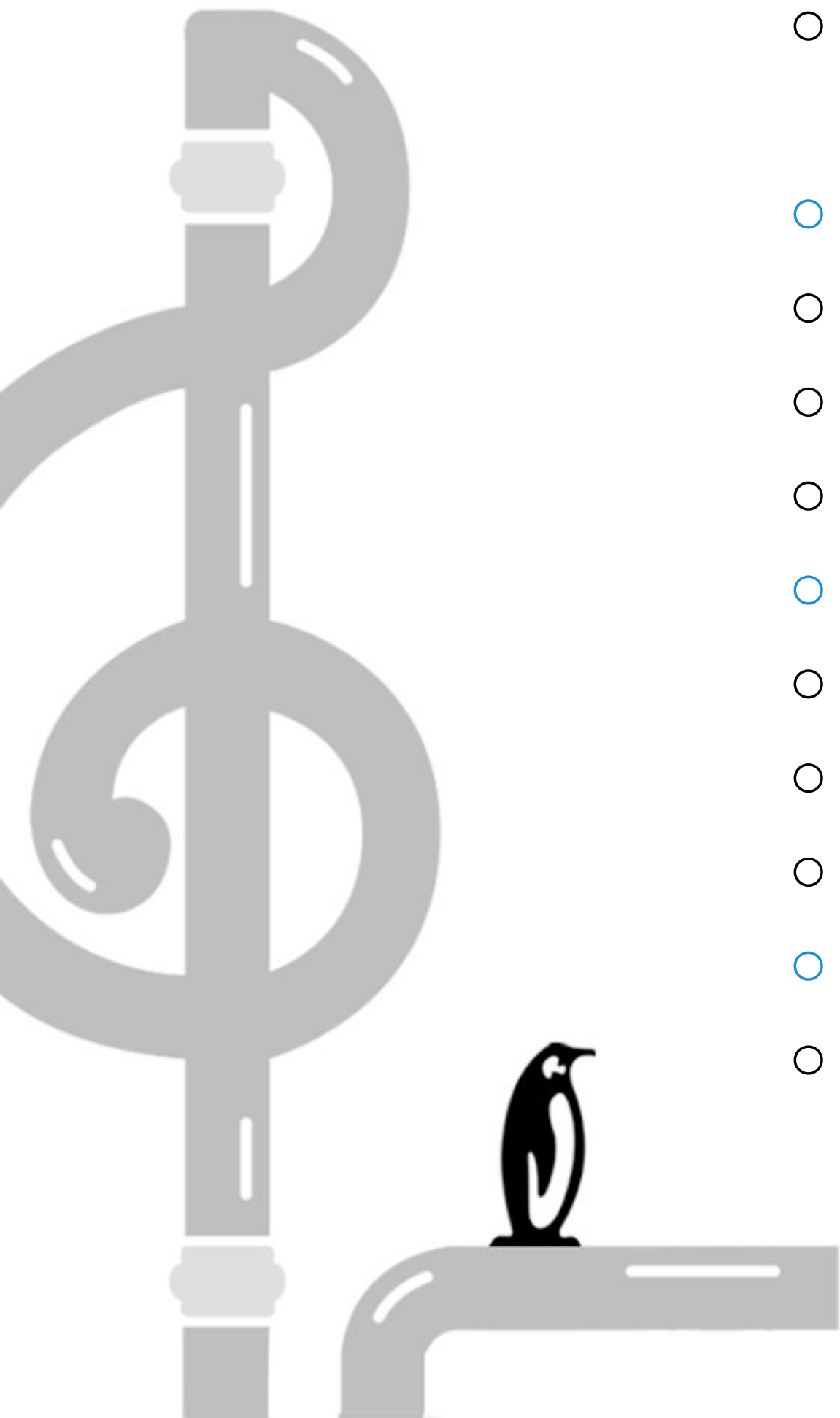
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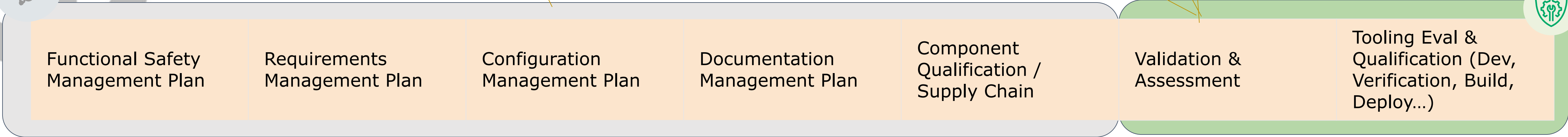
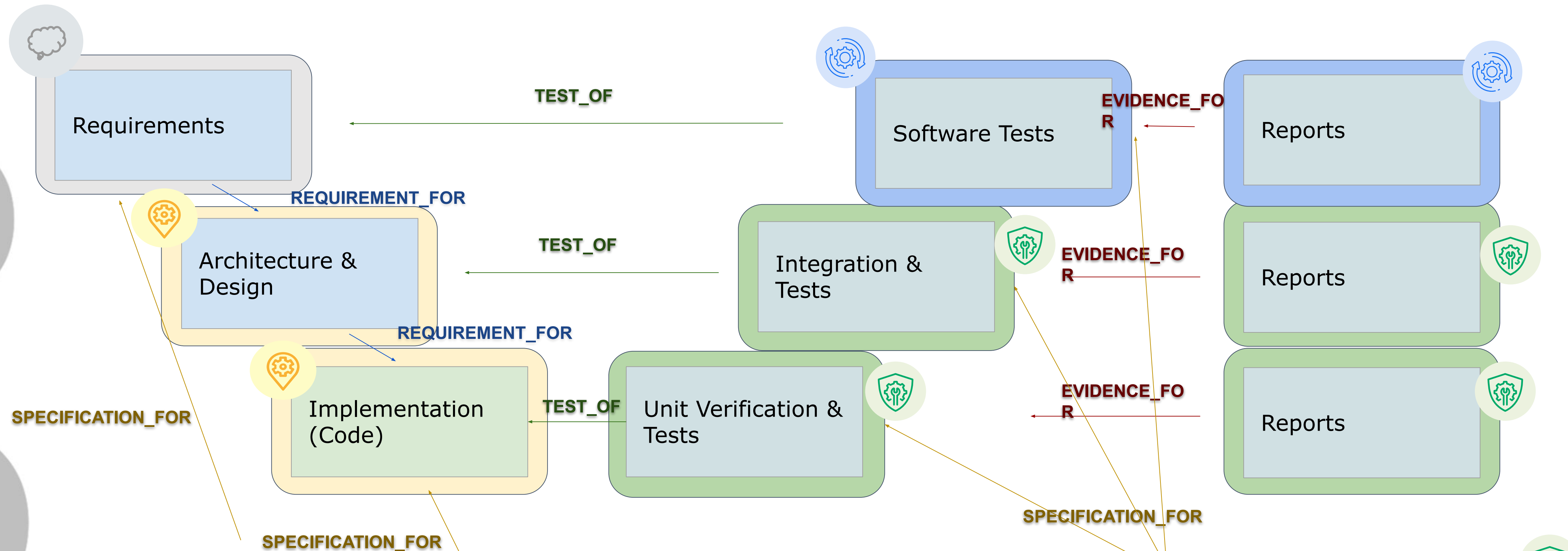
## About SPDX

SPDX metadata includes details about creation and distribution, including the following:

- [software composition](#), for collections of software (Packages), [individual Files](#), and portions of files ([Snippets](#))
- [software build information](#)
- artificial intelligence (AI) models
- [datasets](#)
- [creator, supplier and distributor identity information](#)
- [provenance and integrity](#)
- licenses and copyrights, including a curated list of licenses and exceptions
- [security vulnerabilities, defects, and other quality data](#)
- [relationships between system elements](#)
- [software usage and lifecycle](#)
- mechanisms to enable annotating SPDX elements and linking between multiple SPDX Documents



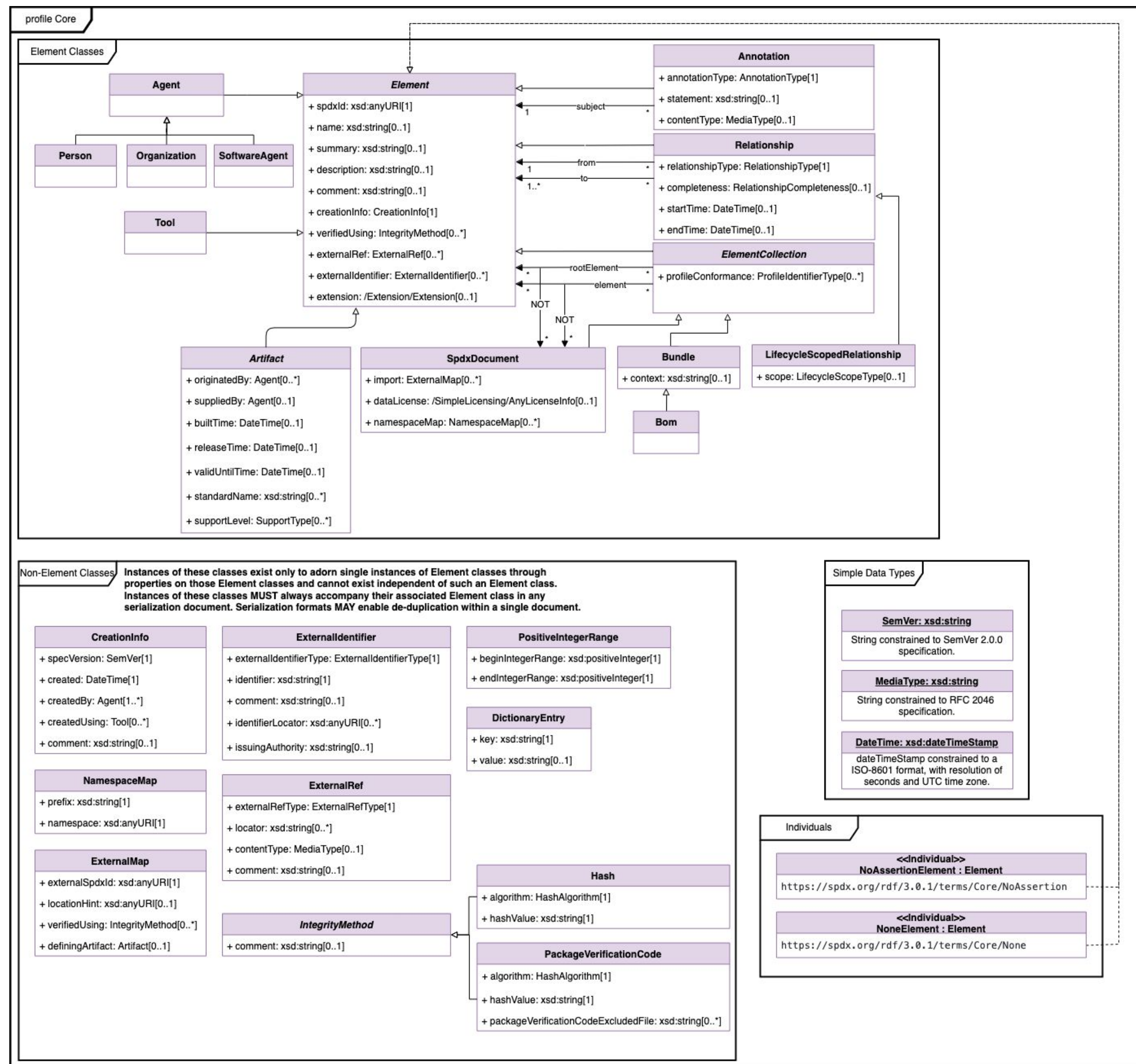
# SPDX Safety Dependencies in a FuSa Project



# SPDX model



<https://github.com/spdx/spdx-3-model/blob/main/images/model-core.png>



**Legend**  
*Italics* - abstract, you must use a subclass

# SPDX model



<https://github.com/spdx/pdx-3-model/blob/main/images/model-core-enum.png>

## Core Enumerations

RelationshipType	
<b>Meta</b>	
amendedBy	[Element -> Element]
describes	[Element -> Element]
modifiedBy	[Element -> Element]
other	[Element -> Element] (comment)
<b>Structure</b>	
contains	[Element -> Element]
<b>Behavioral</b>	
configures	[Element -> Element]
delegatedTo	[Element -> Element]
dependsOn	[Element -> Element]
<b>Pedigree</b>	
copiedTo	[Element -> Element]
expandsTo	[Artifact -> Artifact]
generates	[Artifact -> Artifact]
hasAddedfile	[Element -> Element]
hasDatafile	[Element -> Element]
hasDeletedfile	[Element -> Element]
<b>Provenance</b>	
ancestorOf	[Element -> Element]
availableFrom	[Element -> Element]
descendantOf	[Element -> Element]
variant	[Artifact -> Artifact]
<b>Serialization</b>	
serializedInArtifact	[SpdxDocument -> Artifact]
<b>Build</b>	
hasDependencyManifest	[Element -> Element]
hasDistributionArtifact	[Element -> Element]
hasDocumentation	[Element -> Element]
hasDynamicLink	[Element -> Element]
hasExample	[Element -> Element]
hasHost	[Build -> Element]
hasInput	[Build -> Element]
hasMetadata	[Element -> Element]
hasOptionalComponent	[Element -> Element]
hasOptionalDependency	[Element -> Element]
hasOutput	[Build -> Element]
hasPrerequisite	[Element -> Element]
hasProvidedDependency	[Element -> Element]
hasRequirement	[Element -> Element]
hasSpecification	[Element -> Element]
hasStaticLink	[Element -> Element]
hasTest	[Element -> Element]
hasTestCase	[Element -> Element]
hasVariant	[Element -> Element]
invokedBy	[Element -> Agent]
packagedBy	[Element -> Element]
patchedBy	[Element -> Element]
usesTool	[Element -> Element]
<b>Licensing</b>	
hasConcludedLicense	[SoftwareArtifact -> AnyLicenseInfo]
hasDeclaredLicense	[SoftwareArtifact -> AnyLicenseInfo]
<b>Security</b>	
affects	[Vulnerability -> Element]
doesNotAffect	[Vulnerability -> Element]
exploitCreatedBy	[Vulnerability -> Agent]
fixedBy	[Vulnerability -> Agent]
foundBy	[Vulnerability -> Agent]
hasAssessmentFor	[Vulnerability -> Element]
hasAssociatedVulnerability	[Artifact -> Vulnerability]
publishedBy	[Vulnerability -> Agent]
reportedBy	[Vulnerability -> Agent]
republishedBy	[Vulnerability -> Agent]
underInvestigationFor	[Vulnerability -> Element]
<b>AI/Dataset</b>	
hasEvidence	[Element -> Element]
testedOn	[Element -> Element]
trainedOn	[Element -> Element]

ExternalRefType
altDownloadLocation
altWebPage
binaryArtifact
bower
buildMeta
buildSystem
certificationReport
chat
componentAnalysisReport
documentation
dynamicAnalysisReport
eolNotice
exportControlAssessment
funding
issueTracker
license
mailingList
mavenCentral
metrics
npm
nuget
other
privacyAssessment
productMetadata
purchaseOrder
qualityAssessmentReport
releaseHistory
releaseNotes
riskAssessment
runtimeAnalysisReport
secureSoftwareAttestation
securityAdvisory
securityAdversaryModel
securityFix
securityOther
securityPenTestReport
securityPolicy
securityThreatModel
socialMedia
sourceArtifact
staticAnalysisReport
support
vcs
vulnerabilityDisclosureReport
vulnerabilityExploitabilityAssessment

HashAlgorithm
adler32
blake2b256
blake2b384
blake2b512
blake3
crystalsDilithium
crystalsKyber
falcon
md2
md4
md5
md6
other
sha1
sha224
sha256 [default]
sha384
sha512
sha3_224
sha3_256
sha3_384
sha3_512

AnnotationType
other
review

ExternalIdentifierType
cpe22
cpe23
cve
email
getoid
other
packageUrl
securityOther
swid
swid
urlScheme

RelationshipCompleteness
complete [default]
incomplete
noAssertion

LifecycleScopeType
build
design
development
other
runtime
test

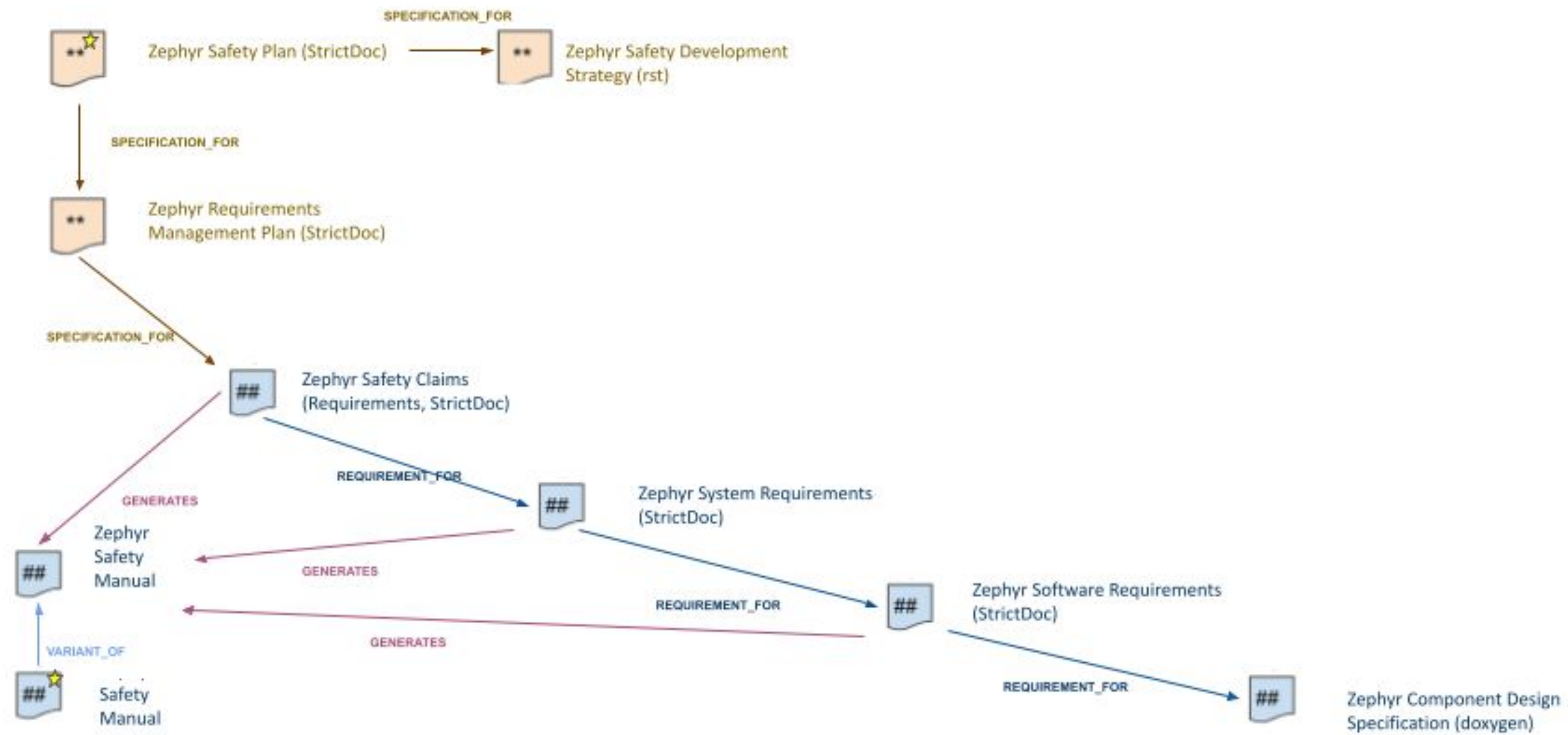
ProfileIdentifierType
ai
build
core
dataset
expandedLicensing
extension
lite
security
simpleLicensing
software

PresenceType
no
noAssertion
yes

SupportType
deployed
development
endOfSupport
limitedSupport
noAssertion
noSupport
support

# Requirements Management Knowledge Model

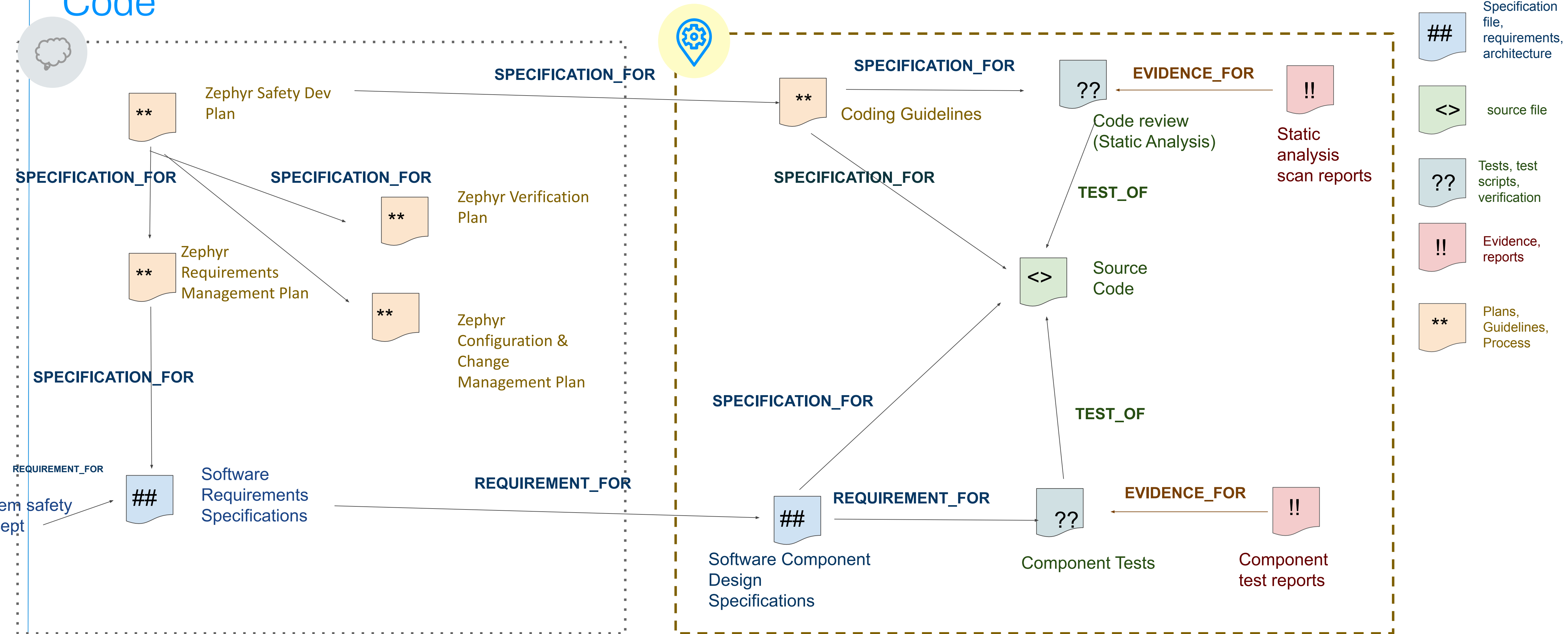
## Safety Committee View ★





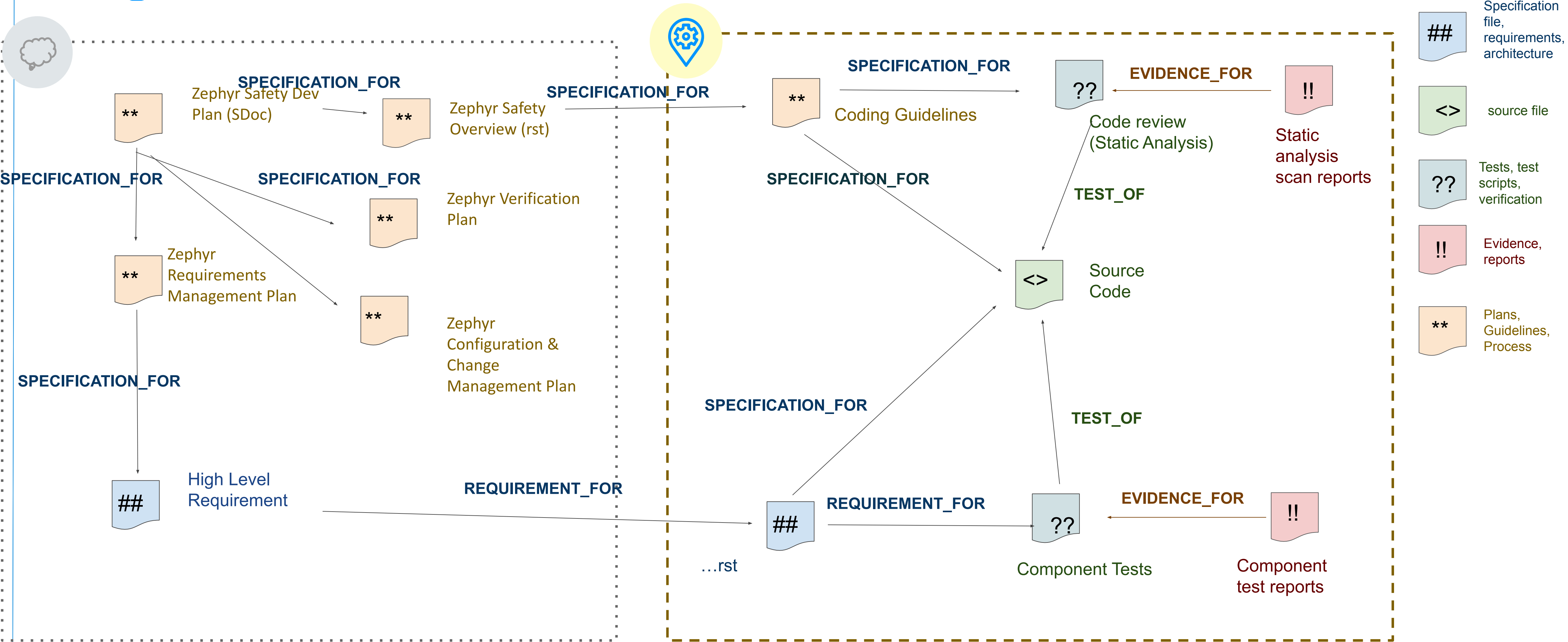
# Zephyr Safety:

## Dependencies of Safety Plan, Safety Claim, Req, Design and Code



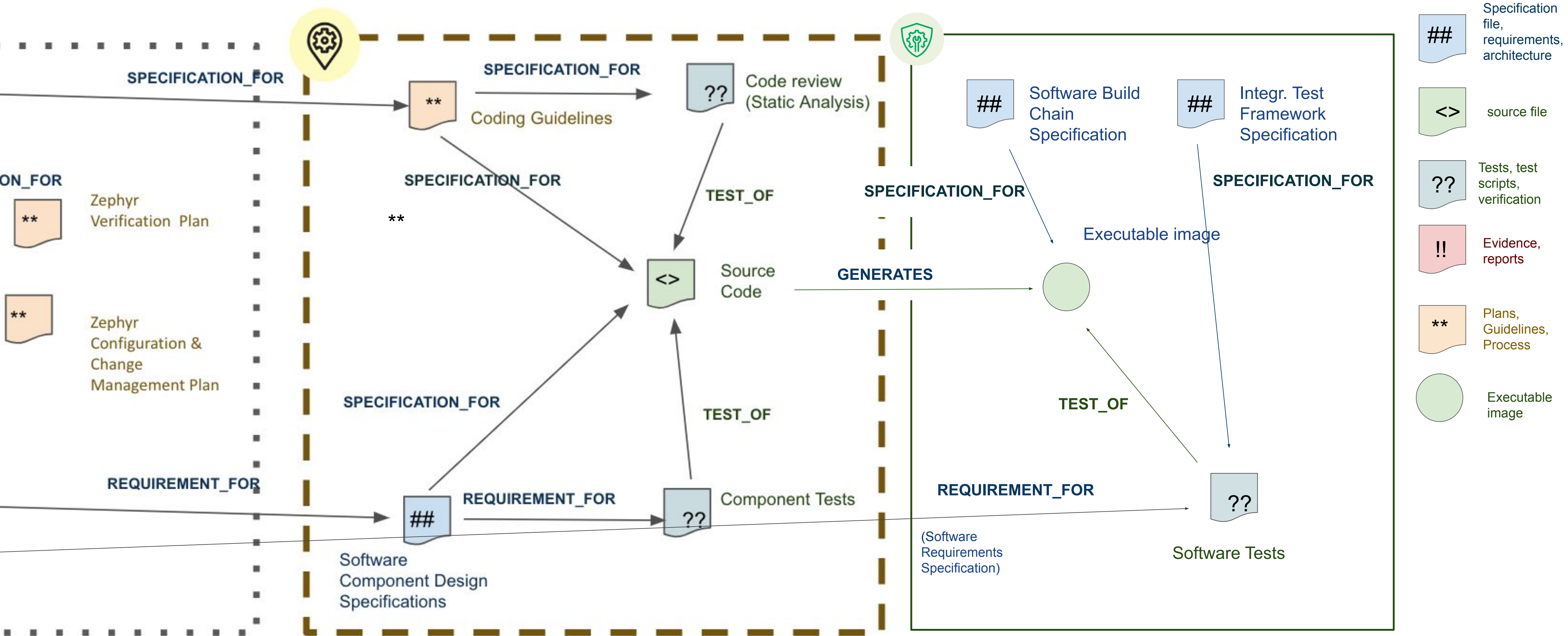
# Zephyr Safety:

## Design SBOM to Source SBOM

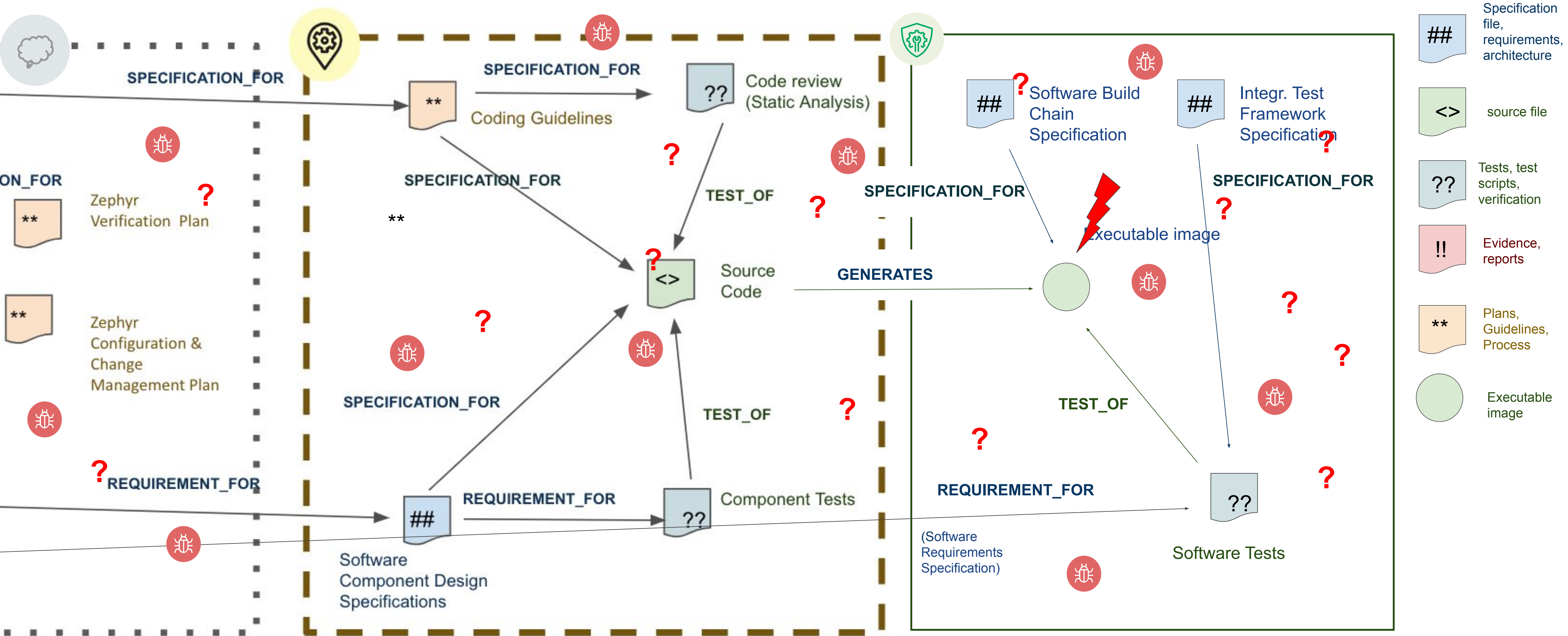


# Zephyr Safety

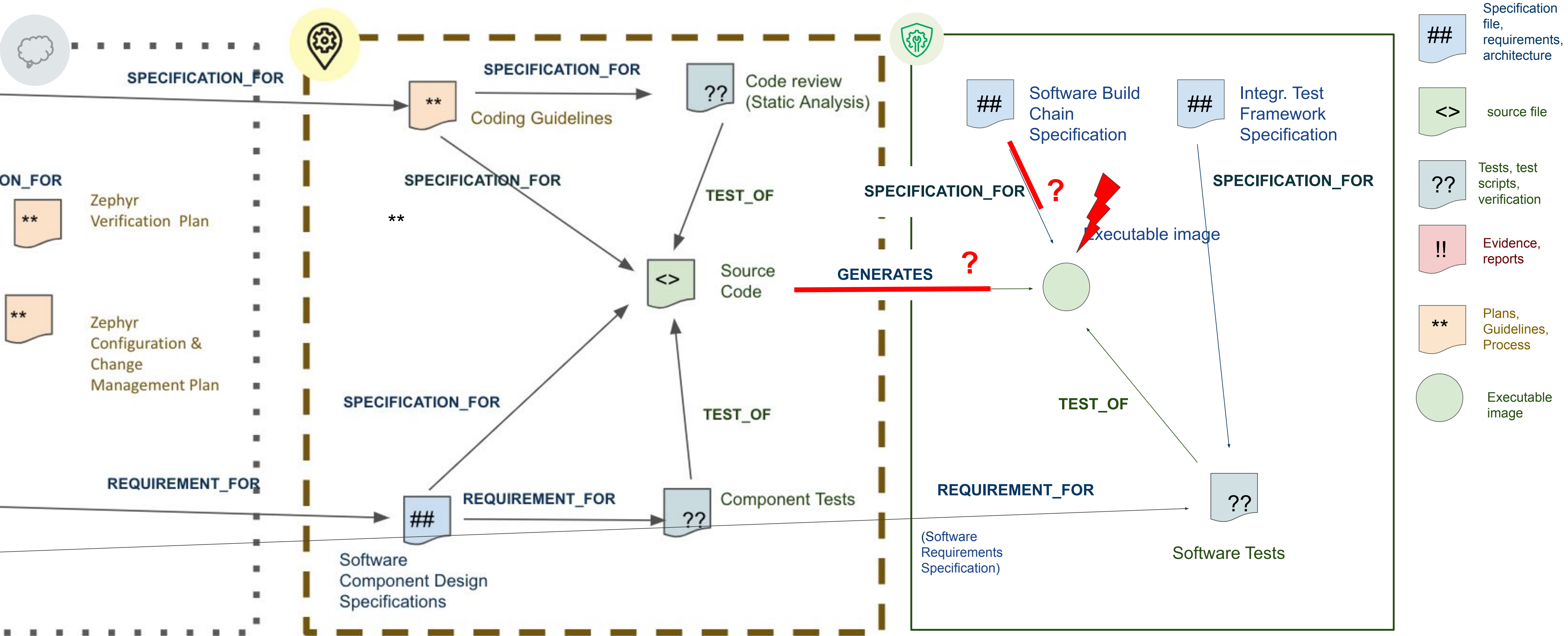
## Source SBOM to Build SBOM



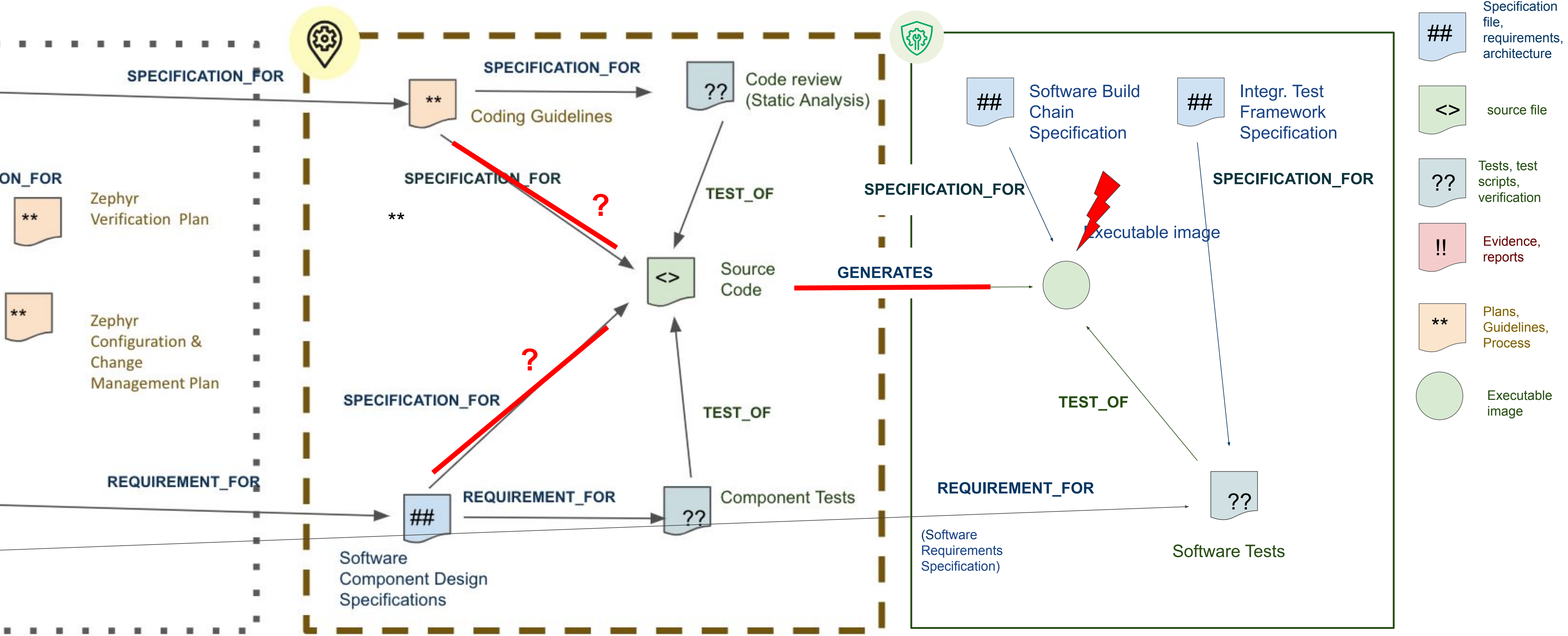
# Dependency Identification on Component Level



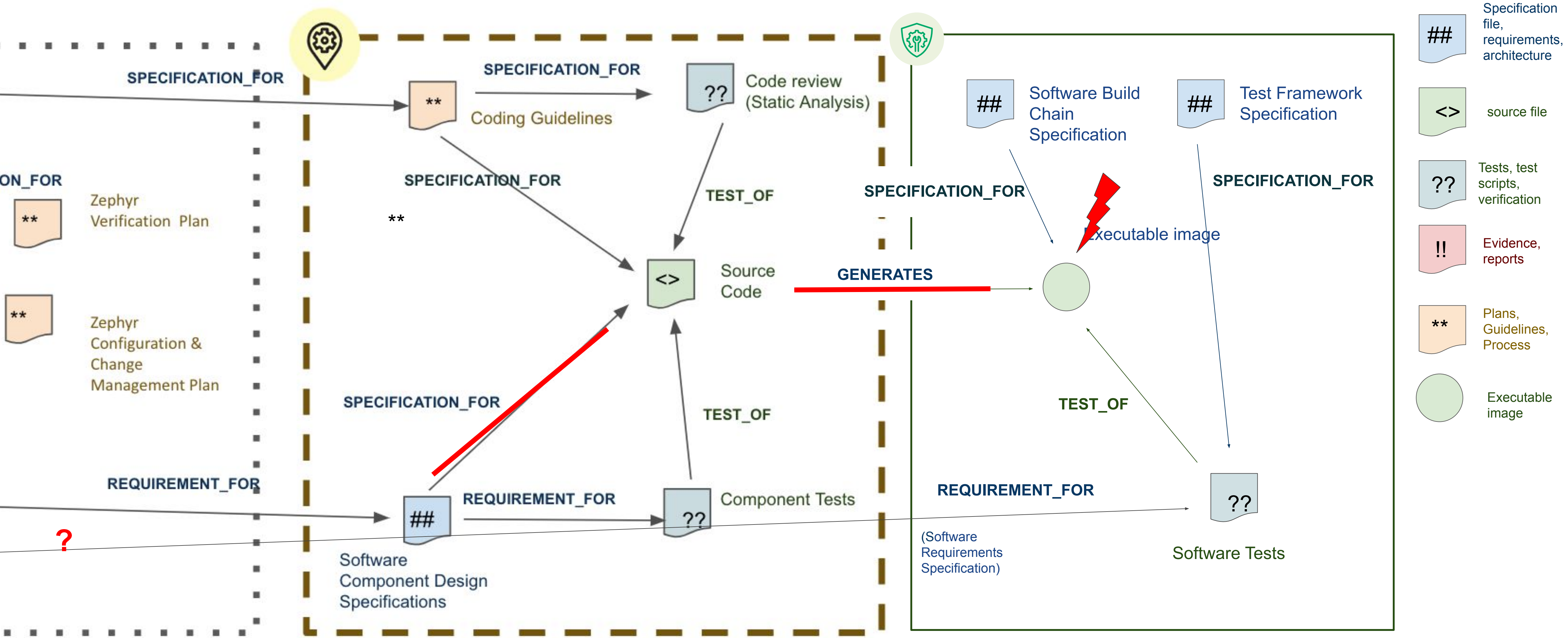
# Dependency Identification on Component Level



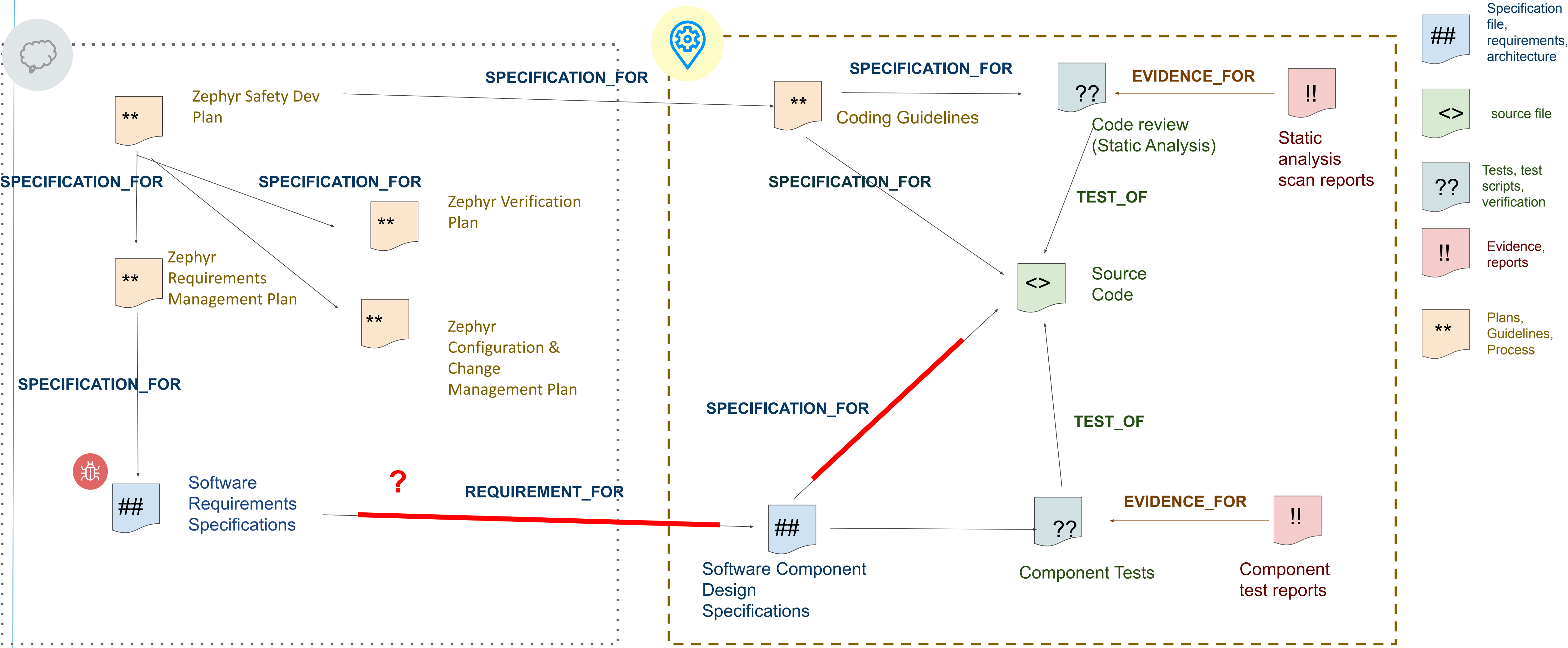
# Dependency Identification on Component Level



# Dependency Identification on Component Level

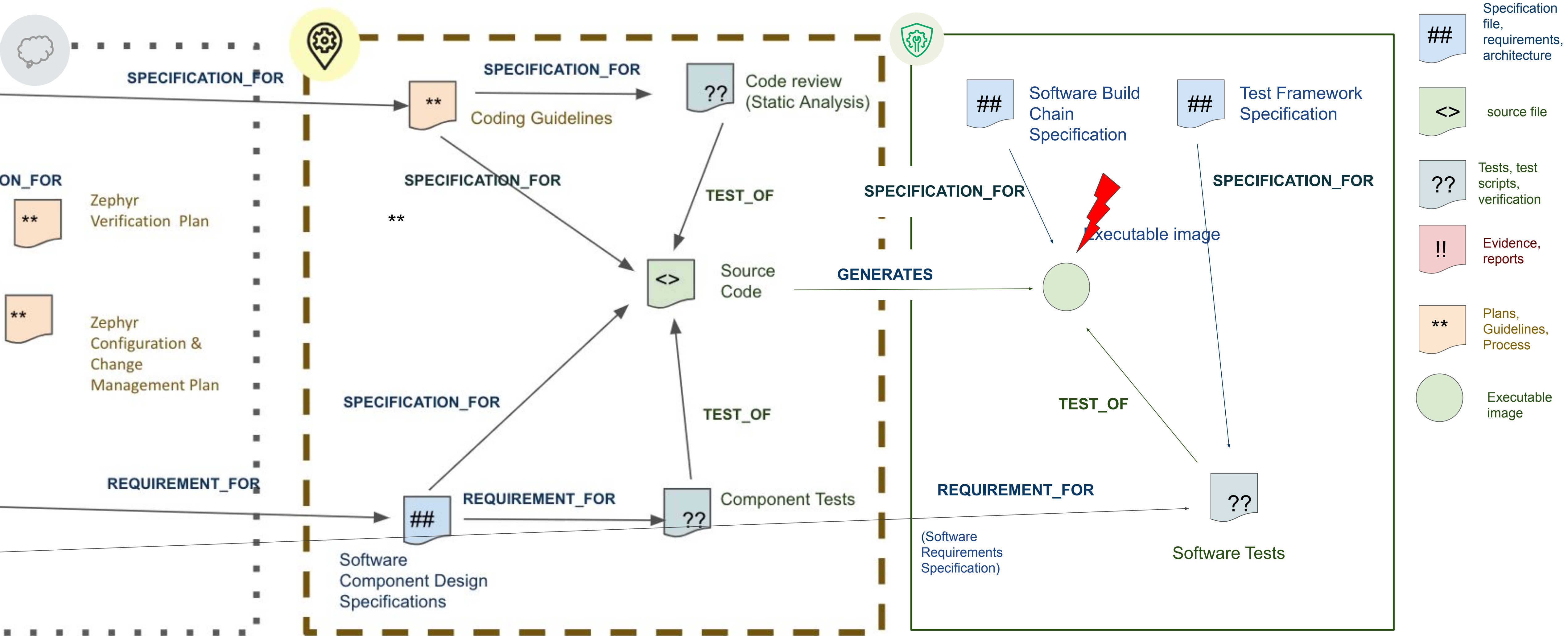


# Dependency Identification on Component Level

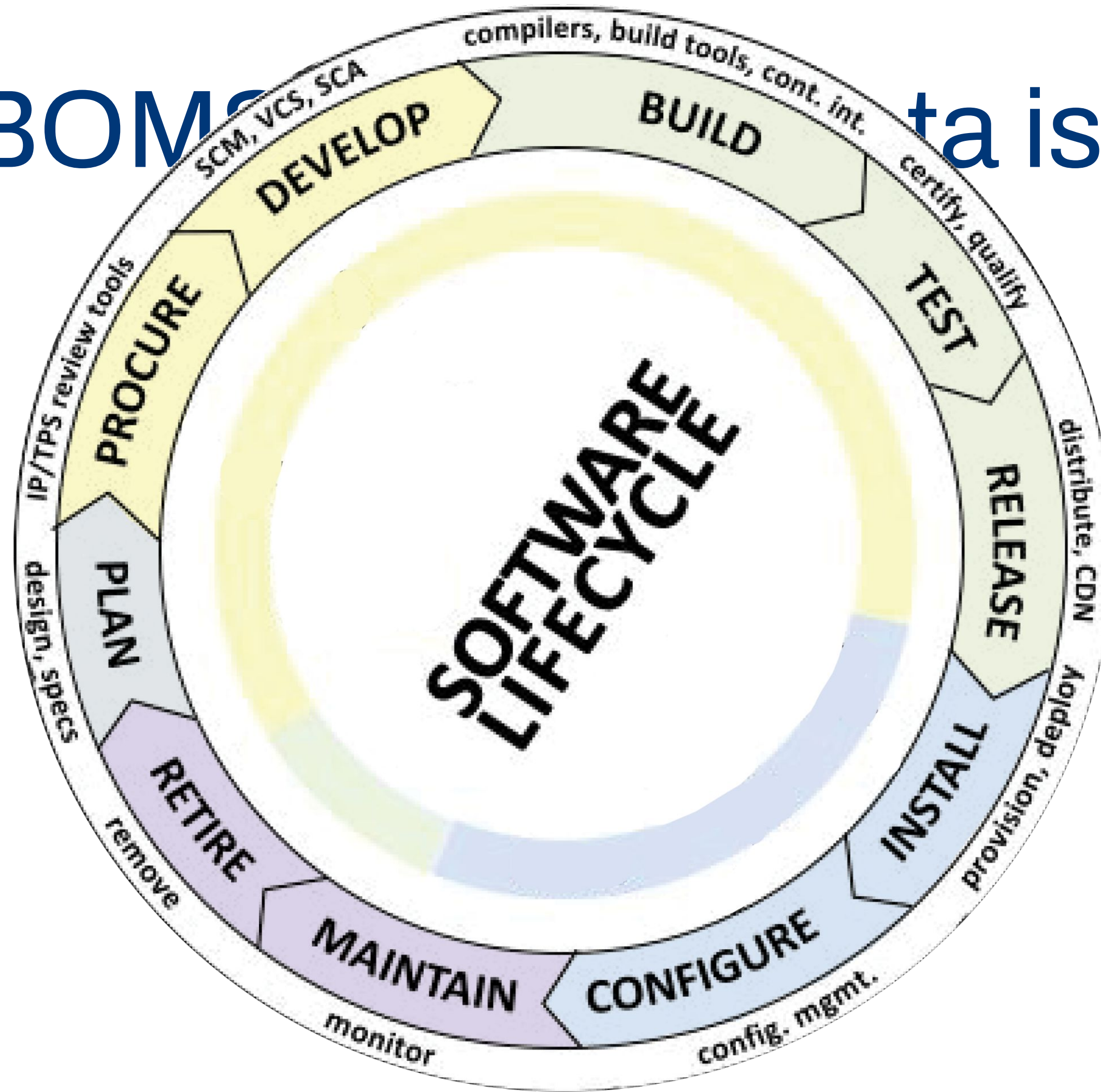




# Dependency Identification on Component Level



# Generate SBOMs as data is known



Source SBOM



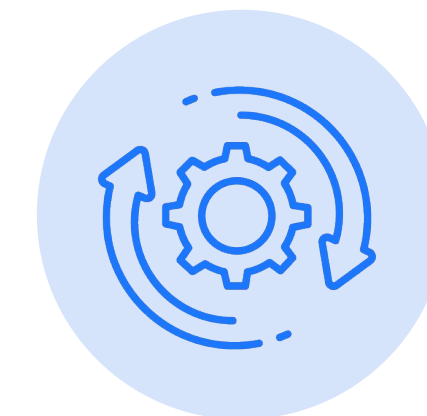
Design SBOM



Runtime SBOM



Build SBOM



Deployed SBOM

# Exchange SPDX Safety SBOMs

## Evaluation & Implementation

## Build & Test

## Final integrated system

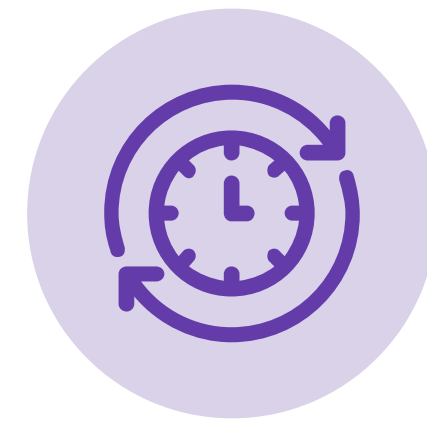
Design SBOM

Build SBOM

Deployed SBOM

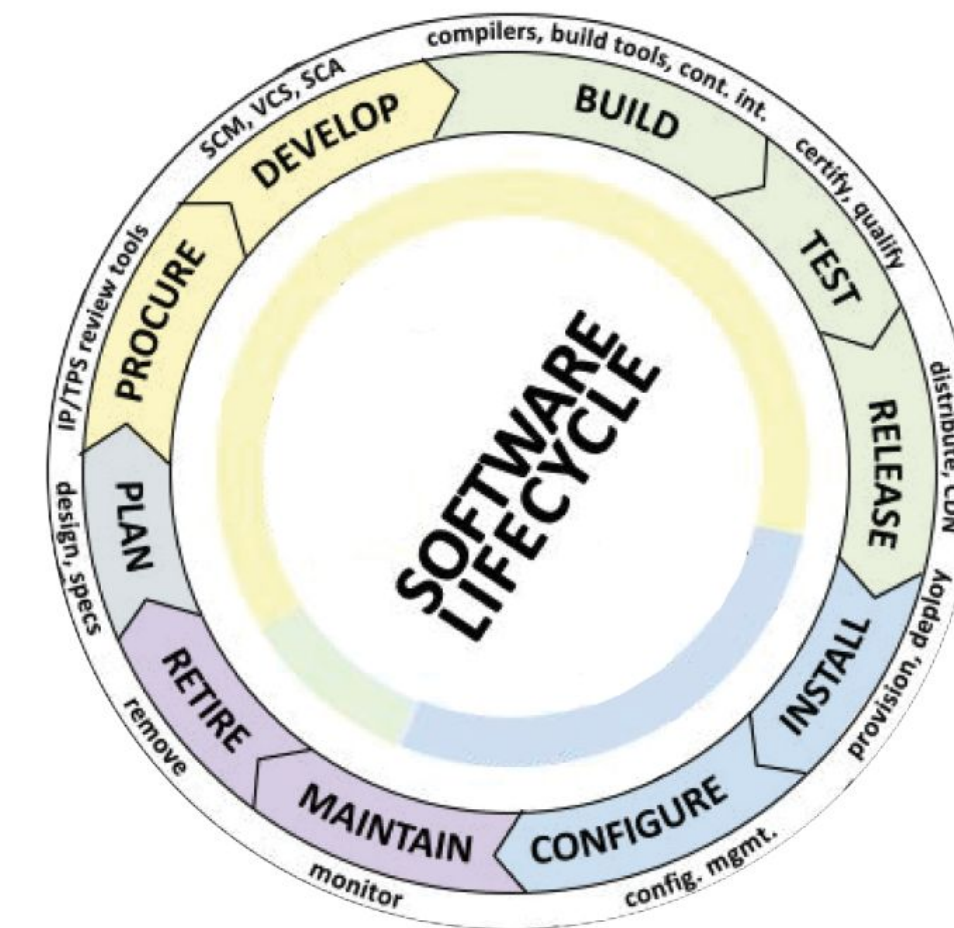
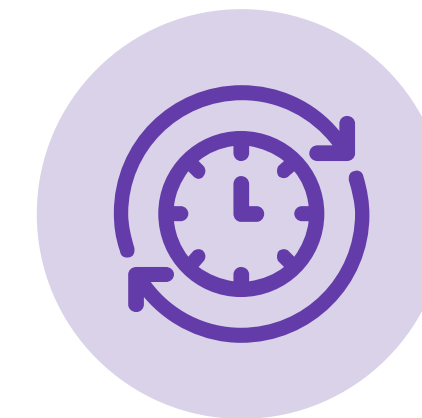
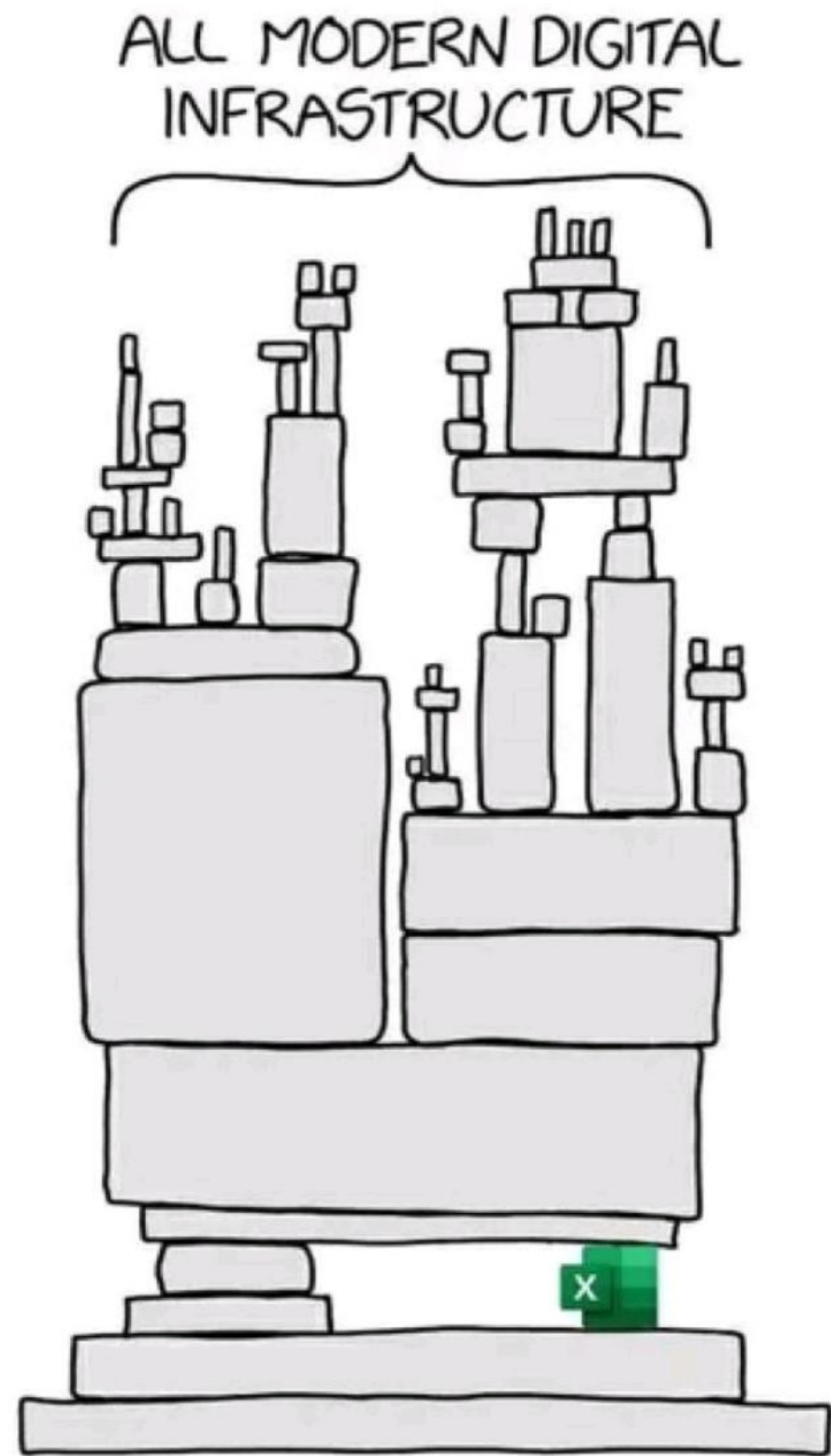
Source SBOM

Runtime SBOM



# No 1 Safety Information Exchange Format?

## SPDX Safety SBOM!

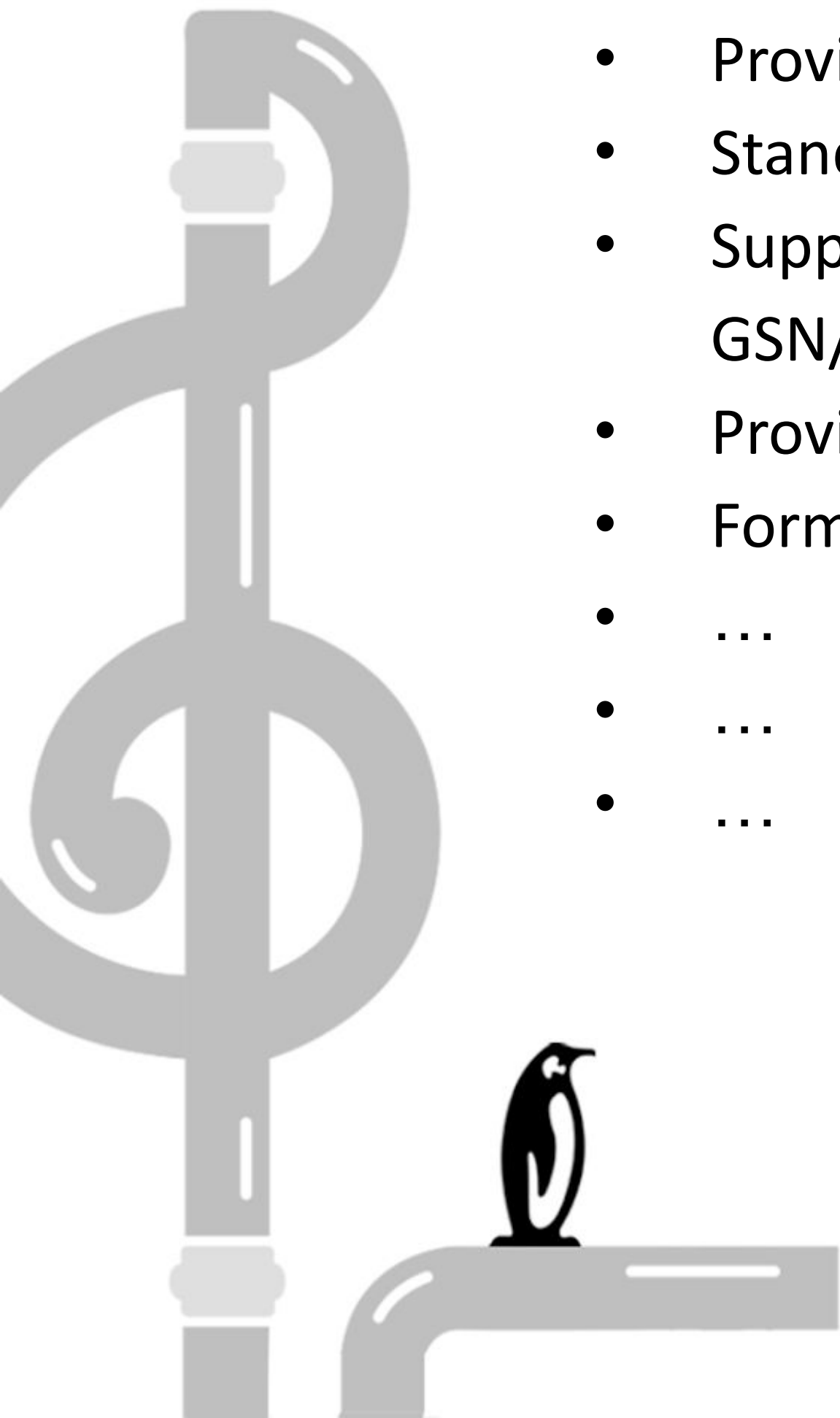


... instead of inconsistent Spreadsheets, manual import/export, or half decent ReqIFs...

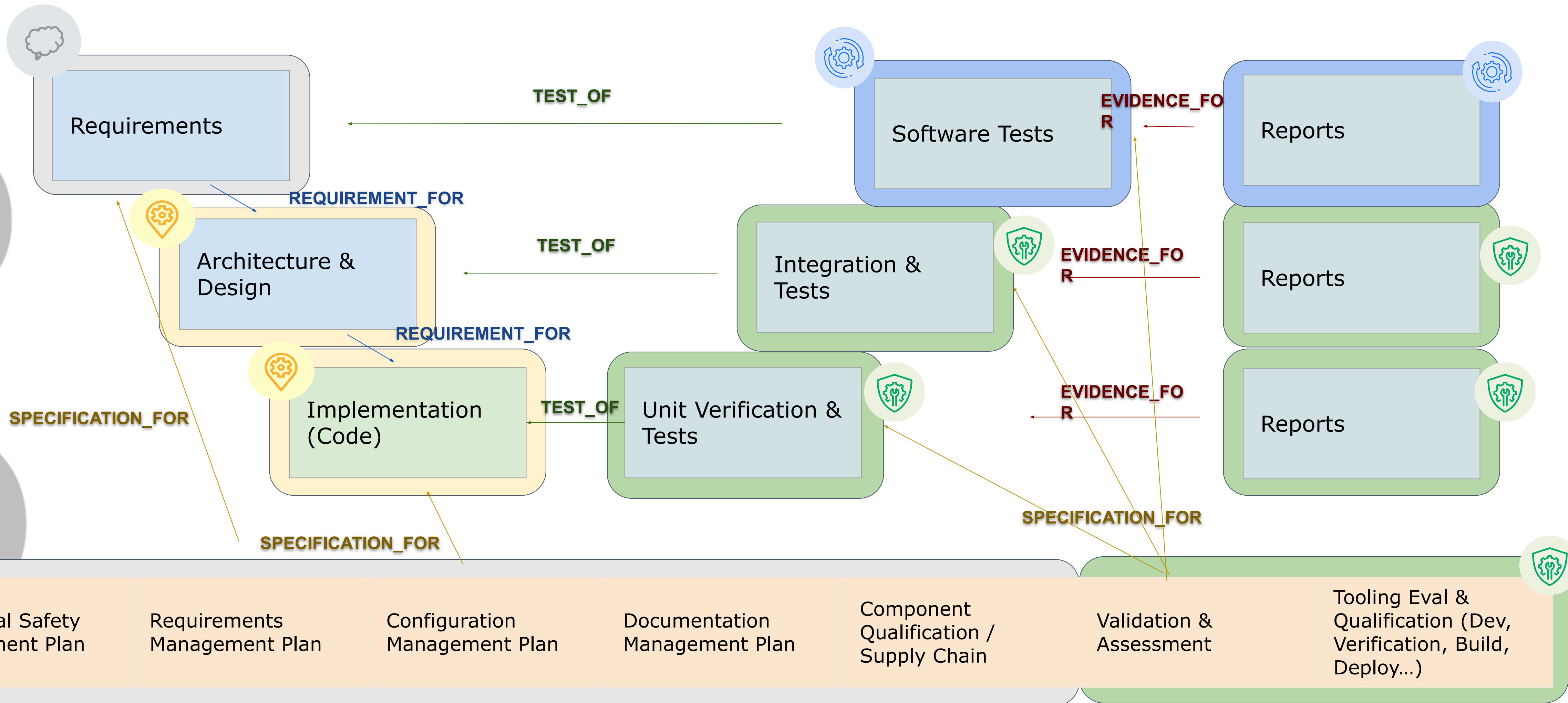
# Conclusions

## Using a SPDX Safety Profile

- Provides a complete model of dependencies in a safety related project
- Standardized exchange format for a safety case
- Supports effective impact analysis methodologies (input information for FMEA, Ishikawa Analysis, GSN/SACM etc.)
- Provides reproducible results in both impact analysis and evidence generation
- Formal way to demonstrate completeness after project tailoring and for different scopes
- ...
- ...
- ...



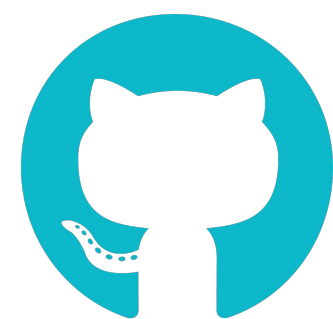
# SPDX Safety Dependencies



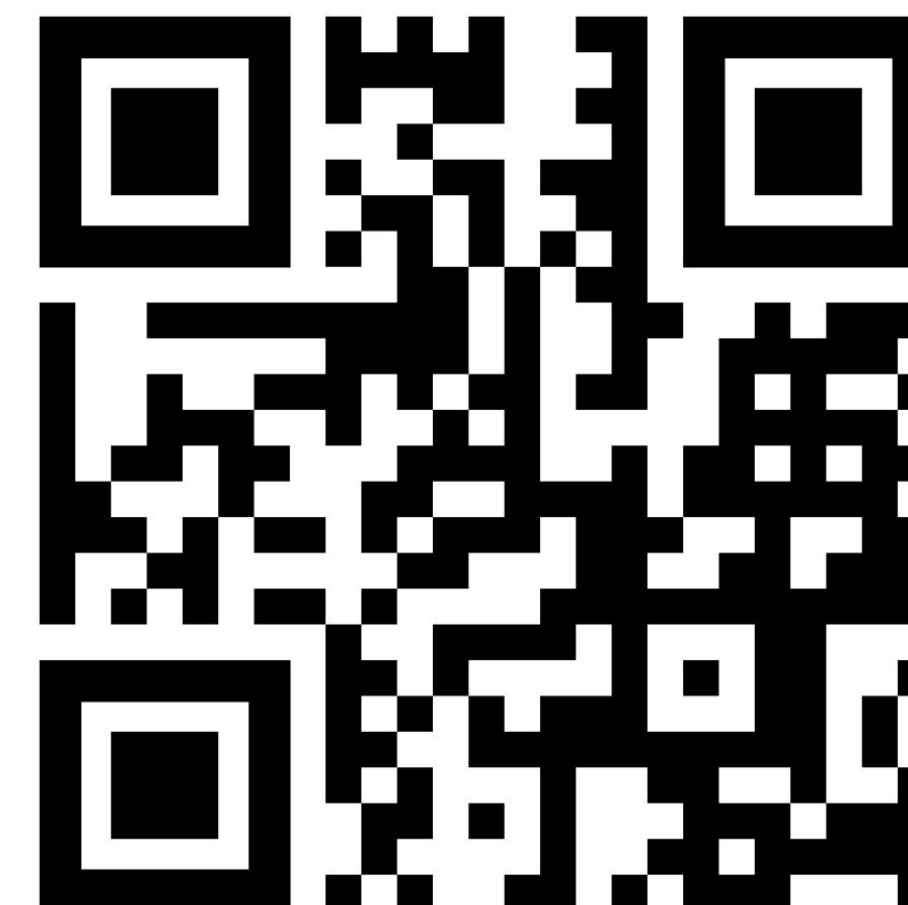
## Engage with the SPDX Safety SIG



<https://lists.spdx.org/g/spdx-fusa>



<https://github.com/spdx/meetings/tree/main/safety>



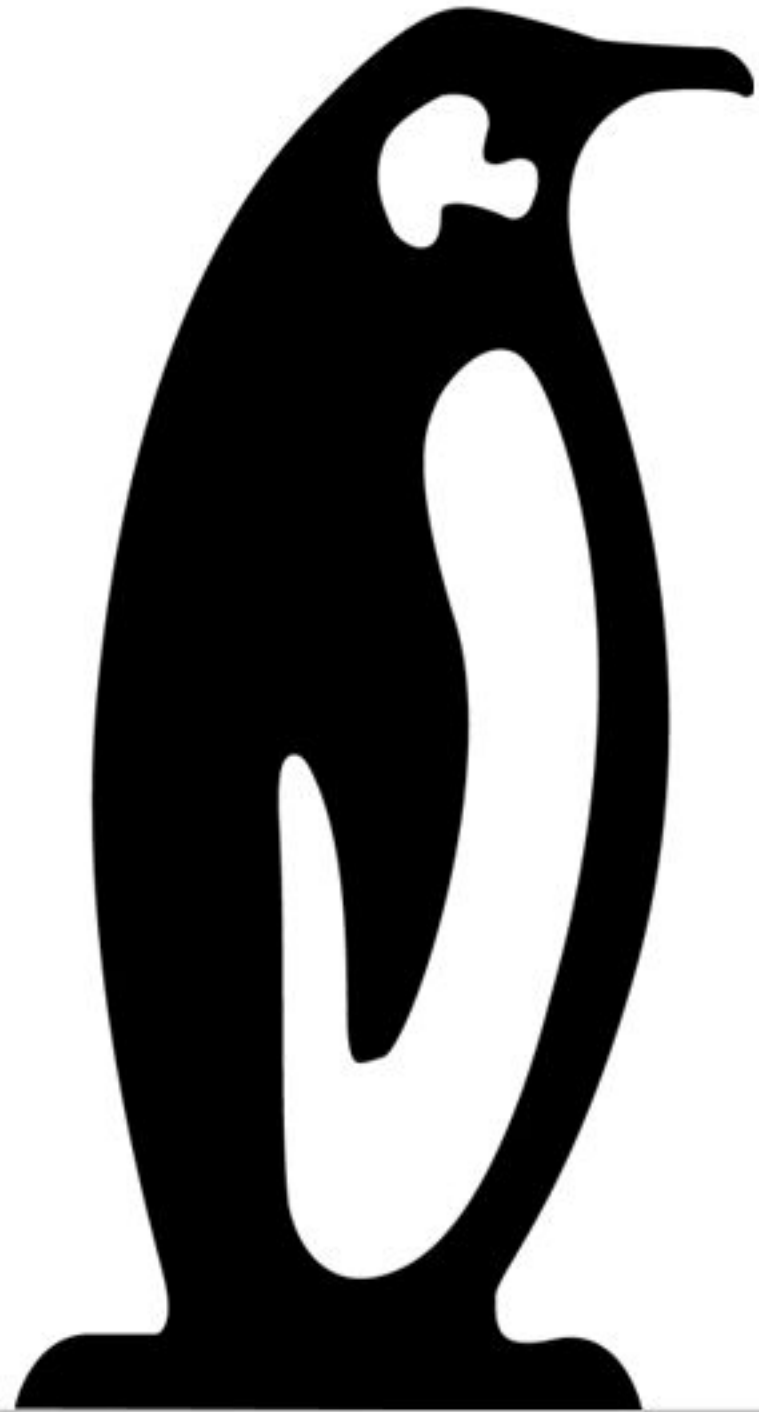
# Questions?



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